



# Draft Annual Action Plan PY 2022-2023



Public Review

April 26, 2022





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## Version History

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No.	Summary of Changes			
1	Published Draft for Public Comment:	3/24/22	Sent to HUD for Approval:	TBD
	Conducted Public Hearing:	4/26/22	Approved by HUD:	TBD
	Original 2022-2023 Annual Action Plan.			

# Executive Summary

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## **AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)**

### **1. Introduction**

The City of Irvine has prepared the 2022-2023 Annual Action Plan as required to receive federal Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), and HOME Investment Partnerships (HOME) funds. This is the third Annual Action Plan during the current five-year Consolidated Plan period of 2020-2024. Submitted in 2020, the Consolidated Plan provided the United States Department of Housing and Urban Development (HUD) with a comprehensive assessment of the City's housing and community development needs and outlines the City's priorities, objectives and strategies for the investment of CDBG, ESG, and HOME funds to address these needs over the five-year period from July 1, 2020 to June 30, 2025.

The City receives CDBG, ESG and HOME funds from HUD on a formula basis each year, and in turn, awards grants and loans to nonprofit, for-profit or public organizations for programs and projects in furtherance of the Consolidated Plan. These programs provide for a wide range of eligible activities to address the needs of Irvine residents.

### **Community Development Block Grant (CDBG)**

The Housing and Community Development Act of 1974 created the CDBG Program with three primary objectives against which HUD evaluates the Consolidated Plan and the City's performance. Those primary objectives are decent housing, suitable living environments, and expanded economic opportunities for low- and moderate-income persons. The CDBG regulations require that each activity meet one of the following national objectives:

- Benefit low- and moderate-income persons; or
- Aid in the prevention or elimination of slums and blight; or
- Meet other community development needs having a particular urgency (usually the result of a natural disaster).

### **Emergency Solutions Grant (ESG)**

The Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act) amended the McKinney-Vento Homeless Assistance Act, significantly revising the former Emergency Shelter Grants Program and renaming it the Emergency Solutions Grants program. The ESG program provides funding for street outreach, emergency shelter, emergency shelter operations, essential services, rapid re-housing, and homelessness prevention.



## HOME Investment Partnerships (HOME)

The Cranston-Gonzalez National Affordable Housing Act of 1990 created the HOME program to expand the supply of affordable housing for low- and moderate-income households. Often used in partnership with local nonprofit housing development organizations, the HOME program can support a wide range of affordable housing activities, including building, buying, and/or rehabilitating rental and ownership housing or providing direct rental assistance to low- and moderate-income people.

### Anticipated Funding Allocations from HUD

As of March 24, 2022, HUD has not announced the final grant allocations of CDBG, ESG, and HOME funds the City will receive for the 2022-2023 Program Year. This delay occurred because the Consolidated Appropriations Act for Federal Fiscal Year 2022 was not signed into law until March 15, 2022. The Consolidated Appropriations Act provides HUD with 60 days to calculate CDBG, ESG, and HOME grant allocations to states, counties, and cities.

Therefore, to facilitate the citizen participation process, ensure timely adoption and submission of the Action Plan, and preserve the ability of the City of Irvine to start its 2022-2023 Program Year on July 1, 2022, the City Community Services Commission recommended project and activity funding based on the 2021-2022 HUD grants allocation levels. The estimated allocation levels include \$2,311,953 of CDBG funds, \$168,307 of ESG funds, and \$972,418 of HOME funds as well as prior year CDBG and HOME resources. In total, this Draft Action Plan allocates \$5,037,506 to projects and activities.

### Adjustment of Funding to Projects and Activities Subsequent to HUD's Announcement of Final Allocations

As recommended by the Community Services Commission at its meeting of March 16, 2022, and subject to City Council approval after the public hearing on April 26, 2022, the Housing Division will proportionally increase or decrease the project and activity funding budgets to conform with the final allocation amounts and prior year CDBG and HOME resources, except that the Fair Housing Foundation shall receive its full request of \$20,000. This adjustment will occur after HUD announces the final 2022-2023 formula grant allocations of CDBG, ESG, and HOME funds and prior to the City Action Plan submission to HUD. The final Action Plan containing the final adjusted funding allocations to projects and activities will be posted at [www.CityofIrvine.org/cdbg](http://www.CityofIrvine.org/cdbg).

### 2022-2023 CDBG Public Service Activities

Access California Services: Wrap-Around Health & Human Services	\$25,200
Age Well Senior Services: Senior Nutrition Program	\$48,558
Assistance League of Irvine: Operation School Bell	\$29,917
City of Irvine: Community Services Scholarship Program	\$15,400
Fair Housing Foundation: Fair Housing Services Program	\$20,000
Families Forward: Housing Program	\$22,200
Irvine Adult Day Health Services, Inc: Skilled Nursing Care Program	\$47,858
Irvine Children's Fund: School Age Child Care Scholarship Program	\$64,758

Irvine Public Schools Foundation: Program Scholarships	\$17,000
KCS Heath Center: Opioid Use Disorder Care Coordination Program	\$18,300
Radiant Health Centers: HIV Support Services & Medical Clinic	\$15,934
South County Outreach: Homeless Prevention Program	\$21,667

### **2022-2023 CDBG Capital Activities**

City of Irvine Community Development Department: Willows Park ADA	\$201,376
City of Irvine Community Services Department: Great Park ADA	\$475,000
City of Irvine Public Works Department: Fine Arts Center ADA	\$200,000
City of Irvine Public Works Department: Lakeview Senior Center	\$200,000
Families Forward: Affordable Housing Acquisition	\$551,729

### **2022-2023 ESG Activities**

Families Forward: Homeless Prevention	\$149,184
2-1-1 Orange County: Homeless Management Information System (HMIS)	\$6,500

### **2022-2023 HOME Activities**

Affordable Housing Development	\$1,901,106
Community Housing Development Organization Reserve (CHDO Reserve)	\$433,565

### **2022-2023 Program Administration Activities**

City of Irvine Housing Division: CDBG Program Administration	\$462,390
City of Irvine Housing Division: ESG Program Administration	\$12,623
City of Irvine Housing Division: HOME Program Administration	\$97,241

## **2. Summarize the objectives and outcomes identified in the Plan**

The priority needs and goals identified in the Consolidated Plan needs assessment were based on analysis of information including the results of the City's 2020-2024 Consolidated Plan Survey of residents and stakeholders and the housing and community development data elements required by HUD in the online Consolidated Plan system (the eCon Planning Suite). Data supplied by HUD included the 2011-2015 American Community Survey (ACS) 5-Year Estimates and the Comprehensive Housing Affordability Strategy (CHAS) covering the same period. Other sources of information used to identify needs and establish priorities were obtained through consultation with local nonprofit agencies involved in the development of affordable housing and the delivery of public services to children, families, elderly persons, and persons with special needs throughout the community.

In consideration of community input and available data, the 10 priority needs listed below were established as part of the Consolidated Plan:

- Increase the supply of affordable housing for low-income individuals, families, persons with special needs, and persons experiencing homelessness
- Preserve the supply of affordable housing
- Equal access to housing opportunities
- Public services for low- and moderate-income youth, families, and seniors
- Services for residents with special needs
- Street outreach to address homelessness
- Homelessness prevention services and assistance
- Rapid re-housing services and assistance
- Improve public facilities and infrastructure
- Address material and architectural barriers to accessibility for elderly persons and severely disabled adults

Consistent with HUD's national goals for the CDBG, ESG, and HOME programs to provide decent housing opportunities, maintain a suitable living environment and expand economic opportunities for low- and moderate-income residents, the priority needs listed above will be addressed through the implementation of CDBG, ESG, and HOME funded activities aligned with the following measurable goals included in the Strategic Plan section of the Consolidated Plan:

	Goal Name	Category	Need(s) Addressed	Five Year Goal Outcome Indicator
1.	Affordable Housing	Affordable Housing	<ul style="list-style-type: none"> <li>• Increase the supply of affordable housing</li> </ul>	140 rental housing units
2.	Affordable Housing Preservation	Affordable Housing	<ul style="list-style-type: none"> <li>• Preserve the supply of affordable housing</li> </ul>	65 owner housing units
3.	Public Services	Non-Housing Community Development	<ul style="list-style-type: none"> <li>• Equal access to housing opportunities</li> <li>• Services for low- and moderate-income youth, families, and seniors</li> <li>• Services for residents with special needs</li> </ul>	5,500 people
4.	Homelessness Services	Homelessness	<ul style="list-style-type: none"> <li>• Street outreach to address homelessness</li> <li>• Homelessness prevention</li> </ul>	1,800 people
			<ul style="list-style-type: none"> <li>• Rapid re-housing</li> </ul>	60 households

	Goal Name	Category	Need(s) Addressed	Five Year Goal Outcome Indicator
5.	Public Facilities Improvements	Non-Housing Community Development	<ul style="list-style-type: none"> <li>• Improve public facilities and infrastructure</li> <li>• Address material and architectural barriers to accessibility for elderly persons and severely disabled adults</li> </ul>	25 public facilities  252,000 people

Table 1 – Consolidated Plan - Strategic Plan Summary

### 3. Evaluation of past performance

The prior investment of HUD resources during the prior Consolidated Plan period from 2015-2019 resulted in measurable accomplishments that contributed to positive outcomes for Irvine residents. Together with other federal, state and local investments, HUD resources allowed the City of Irvine and its partners to:

- Expand the supply of affordable rental housing units;
- Preserve and improve the existing housing stock and ensure equal access through rehabilitation of single-family housing units;
- Provide fair housing services;
- Provide appropriate health, recreational, educational services to youth;
- Provide quality supportive services to elderly residents;
- Provide support services such as battered and abused spousal programs and referral and case management services to people with special needs;
- Help persons with special needs by upgrading seven public facilities with ADA improvements;
- Contribute to the well-being of individuals, families, and neighborhoods by providing a wide array of public services to Irvine residents;
- Support a continuum of services in support of City and County efforts to end homelessness; and
- Support services that assist in improving the quality of life for people living with HIV/AIDS.

### 4. Summary of Citizen Participation Process and consultation process

The Consolidated Plan regulations at 24 CFR Part 91 provide the citizen participation and consultation requirements for the development of the Consolidated Plan and Annual Action Plans. Chief among those requirements is the need to consult with the Continuum of Care (CoC) to address homelessness, Public Housing Authorities (PHA), business leaders, civic leaders and public or private agencies that address housing, health, social service, victim services, employment, or education needs of low-income individuals and families, homeless individuals and families, youth and/or other persons with special needs. Information supplied by these community stakeholders, together with the data supplied by HUD resulted in a well-informed planning document that reflects the housing, community and economic development needs and priorities for the City of Irvine.

In accordance with the City's Citizen Participation Plan, residents and stakeholders were able to participate in the development of the Consolidated Plan and the Annual Action Plan through surveys, community meetings and/or public hearings. Efforts were made to encourage participation by low- and moderate-income persons, particularly those living in areas where HUD funds are proposed to be used, and by residents of predominantly low- and moderate-income neighborhoods. Efforts were made to encourage the participation of minorities and non-English speaking persons, as well as persons with disabilities. The consultation process included representatives of the CoC, PHA, and other specified groups who completed surveys, provided local data and assisted the City to ensure practical coordination of strategies to maximize impact and to avoid duplication of effort.

In the preparation and public review of the Consolidated Plan and subsequent Annual Action Plans, the City of Irvine consulted with OC Community Resources, the local CoC covering the City of Irvine and all of Orange County, as well as local homeless service providers, and formerly homeless individuals to receive input on planned activities, ESG performance standards and HMIS policies and procedures. The Performance Standards are included in section AP-90. Additionally, a consultation plan for the involvement of homeless or formerly homeless individuals in considering and making policies and decisions regarding any facilities, services, or other assistance that receive funding under ESG is included in section AP-90.

## **5. Summary of public comments**

A public hearing was held on January 19, 2022 before the Community Services Commission. The purpose of this hearing was to receive comments on the housing and community development needs in the community, the 2022-2023 funding process, and program performance over the past year including the results of the 2020-2021 Program Year activities. The Community Services Commission received a presentation from Housing Division staff and opened the public hearing to receive comments. During the public hearing, no public comments were received.

A public meeting was held on February 16, 2022, before the Community Services Commission. The purpose of this hearing was to consider Program Year 2022-2023 grant applications. The Commission received oral presentations from each applicant organization. A total of 23 applications were presented before the commission for consideration under the CDBG, HOME, and ESG programs.

A public meeting was held on March 16, 2022, before the Community Services Commission. The purpose of this hearing was to have the Community Services Commission finalize their final Program Year 2022-2023 funding recommendations that are included in this Draft Action Plan. During this meeting, no public comments were received.

The draft Annual Action Plan was available for public review and comment for a period of 30 calendar days prior to a public hearing, convened by the Irvine City Council on April 26, 2022, to receive comments concerning the 2022-2023 Action Plan and the activities the Community Services Commission recommended for CDBG, ESG, and HOME funding. Comments received during the public hearing are summarized in Appendix A.

## **6. Summary of comments or views not accepted and the reasons for not accepting them**

All comments and views received by the City in the development of the Annual Action Plan were accepted and taken into consideration in the development of the Annual Action Plan.

## **7. Summary**

The Consolidated Plan identified 10 high priority needs and established five measurable goals to guide the investment of CDBG, ESG, and HOME funds from 2020-2024. As required by HUD, this third Annual Action Plan of the 2020-2024 Consolidated Plan period allocates over \$5 million to address the high priority needs and goals of the Consolidated Plan.

# PR-05 Lead & Responsible Agencies – 91.200(b)

## 1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG, ESG, and HOME Administrator	Irvine	Community Development Department, Housing Division

Table 2 – Responsible Agencies

### Narrative

The 2022-203 Annual Action Plan was prepared by MDG Associates, Inc. under contract to the City of Irvine. The Housing Division of the Community Development Department is the lead agency responsible for administering the CDBG, ESG, and HOME programs.

The Housing Division shall be responsible for all grants planning, management and monitoring duties necessary to comply with HUD regulations and City policy throughout the implementation of the Consolidated Plan and each of the five Annual Action Plans.

### Public Contact Information

City of Irvine Housing Division  
One Civic Center Plaza  
Irvine, California, 92606  
[cityofirvine.org/cdbg](http://cityofirvine.org/cdbg)  
949-724-4444

## **AP-10 Consultation – 91.100, 91.200(b), 91.215(l)**

### **1. Introduction**

The City of Irvine consulted with representatives from multiple agencies, groups, and organizations involved in the development and preservation of affordable housing and the provision of services to low- and moderate-income residents, including but not limited to children, elderly persons, persons with disabilities, persons with HIV/AIDS and their families, and homeless persons. To facilitate the consultation process, the City solicited feedback through the following methods:

- Survey of residents and stakeholders (web-based and paper-surveys)
- Individual stakeholder consultations
- Community meetings
- Public hearings
- Receipt of written comments

To gather the greatest breadth and depth of information, the City consulted with a wide variety of agencies, groups and organizations concerning the housing, community, and economic development needs of the community. Each of the agencies, groups or organizations consulted is represented in Table 3 on the following pages. The input received from these consultations helped establish and inform the objectives and goals described in the Strategic Plan.

**Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).**

The City recognizes the importance of careful coordination and alignment among various service providers to maximize the effectiveness of the CDBG, ESG, and HOME programs. As a result, during the development of this Consolidated Plan, the City consulted with organizations that provide assisted housing, health services and other community-focused programs. Outreach efforts included surveys, invitations to community meetings, and follow-up in-person interviews where appropriate.

The City further recognizes the importance of continued coordination and alignment during the remaining five-year planning period with these organizations and agencies. The City will reinforce these partnerships through the implementation of the NOFA process for CDBG, ESG, and HOME funds each year and through technical assistance provided to subrecipients.

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness**

The Continuum of Care (CoC) for Orange County guides the development of homeless strategies and the implementation of programs to end homelessness throughout the region. Orange County's homeless system



of care is comprised of a network of public, private, faith-based, for-profit, and nonprofit service providers who utilize several federal, state and local resources to provide services for homeless people. The region's municipalities, including the City of Irvine, also provide resources for services that assist the homeless and those at risk of becoming homeless. The nonprofit community plays a key role in the CoC system. Hundreds of agencies throughout the County provide programs ranging from feeding the homeless on the street to creating permanent supportive housing opportunities. These services are available to homeless families with children, and single men and women. The nonprofit community also serves special needs populations, such as victims of domestic violence, veterans, the disabled and youth.

The City consulted with the CoC through in-person meetings and conference calls using a detailed questionnaire to identify the CoC's perspectives on the most needed services to address homelessness in Irvine and throughout Orange County. These consultations addressed the needs of chronically homeless families and individuals, families with children, veterans, unaccompanied youth and persons at risk of homelessness.

Coordination to address homelessness — including chronically homeless individuals and families, families with children, veterans, and unaccompanied youth — is guided by the CoC and its leading organizations including 2-1-1 Orange County and Orange County Community Services. The City's Housing Division will work closely with the CoC and ESG subrecipients each year to ensure that the needs of persons experiencing homelessness and those at-risk of homelessness are addressed.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards and evaluate outcomes, and develop funding, policies and procedures for the administration of HMIS**

The Orange County CoC consults with jurisdictions receiving ESG funds on an ongoing basis. An ESG collaborative was formed in Orange County and quarterly meetings are held with representatives of the ESG jurisdictions and the CoC. During the meetings, funding priorities are determined which are consistent with the current Countywide Plan to End Homelessness and the Consolidated Plans of ESG jurisdictions including the County of Orange and the Cities of Anaheim, Garden Grove, Irvine, and Santa Ana. In Orange County, ESG funds are directed toward emergency shelter and rapid-rehousing programs. Orange County also utilizes its CDBG funding for emergency shelter rehabilitation and homeless services to the extent that CDBG public service resources are available.

The Orange County CoC's performance standards and outcome evaluation methodology is based on data collected from HMIS and Annual Performance Reports (APRs), including data such as employment income, access to mainstream resources, transition to permanent housing, remaining in permanent housing, leveraging, spending, HMIS data quality, participation in Point in Time (PIT) Counts, the Homeless Inventory County (HIC), average length of stay, rate of permanent housing exits, rate of returns, net exits to permanent housing, rate of net exits, and project budgets through a Performance Improvement Calculator. This information provides the CoC the extent to which each project has resulted in rapid return to permanent housing and the cost of programs. These data elements are reviewed annually for the purposes of ranking service providers. The Orange County CoC considers the severity of barriers. High barrier households are

placed into permanent supportive housing and lower barrier households are rapidly returned to permanent housing. The Orange County CoC will continue to use the Performance Improvement Calculator and review information quarterly provided through HMIS and budget data.

The Orange County CoC established a collaborative implementation and management with the three CoCs located in Los Angeles County (City of Pasadena, City of Glendale, and Los Angeles County). The Collaborative developed and maintains a Policies and Procedures guide for the use of the HMIS system. Additionally, HMIS system administrators provide training as needed to HMIS users and provide troubleshooting assistance on an “as needed” basis.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdictions consultations with housing, social service agencies and other entities

1	Agency/Group/Organization	2-1-1 Orange County
	Agency/Group/Organization Type	Continuum of Care - Information and Referral
	What section of the Plan was addressed by Consultation?	Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	In-Person Meeting Conference Calls This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
2	Agency/Group/Organization	Orange County Housing Authority
	Agency/Group/Organization Type	Housing Services - Housing PHA
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	In-Person Meeting Conference Calls This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
3	Agency/Group/Organization	Assistance League of Irvine
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Non-housing Community Development Strategy Anti-Poverty Strategy Public Services
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey In-Person Meeting Conference Calls This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.

4	<b>Agency/Group/Organization</b>	Irvine Adult Day Health Services
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons
	<b>What section of the Plan was addressed by Consultation?</b>	Non-housing Community Development Strategy Non-Homeless Special Needs Public Services
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey In-Person Meeting Conference Calls This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
5	<b>Agency/Group/Organization</b>	Irvine Meals on Wheels
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons Services-Persons with Disabilities
	<b>What section of the Plan was addressed by Consultation?</b>	Public Services
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey In-Person Meeting Conference Calls This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
6	<b>Agency/Group/Organization</b>	Regional Center of Orange County
	<b>Agency/Group/Organization Type</b>	Services-Persons with Disabilities Regional organization
	<b>What section of the Plan was addressed by Consultation?</b>	Non-housing Community Development Strategy Non-Homeless Special Needs
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.

7	<b>Agency/Group/Organization</b>	Radiant Health Centers
	<b>Agency/Group/Organization Type</b>	Services-Persons with HIV/AIDS
	<b>What section of the Plan was addressed by Consultation?</b>	Non-housing Community Development Strategy Non-Homeless Special Needs HOPWA Strategy
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey In-Person Meeting Conference Calls This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
8	<b>Agency/Group/Organization</b>	Families Forward
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Victims of Domestic Violence Services-Homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey In-Person Meeting Conference Calls Homelessness Focus Group This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
9	<b>Agency/Group/Organization</b>	Orange County Community Services
	<b>Agency/Group/Organization Type</b>	Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey In-Person Meeting Conference Calls This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.

10	Agency/Group/Organization	FOR Families
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Non-Housing Community Development Strategy Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey In-Person Meeting This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
11	Agency/Group/Organization	Irvine Unified School District
	Agency/Group/Organization Type	Services-Education Other government - Local
	What section of the Plan was addressed by Consultation?	Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
12	Agency/Group/Organization	Fair Housing Foundation
	Agency/Group/Organization Type	Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Services
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey In-Person Meeting This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
13	Agency/Group/Organization	O.C. Emergency Management Bureau
	Agency/Group/Organization Type	Emergency Management Agency
	What section of the Plan was addressed by Consultation?	Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.

14	<b>Agency/Group/Organization</b>	Orange County Child Abuse Services Team
	<b>Agency/Group/Organization Type</b>	Services-Children Child Welfare Agency Other government – County Publicly Funded Institution/ System of Care
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Market Analysis
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
15	<b>Agency/Group/Organization</b>	University of California, Irvine
	<b>Agency/Group/Organization Type</b>	Services-Education
	<b>What section of the Plan was addressed by Consultation?</b>	Market Analysis Economic Development
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
16	<b>Agency/Group/Organization</b>	Orange County Fire Authority
	<b>Agency/Group/Organization Type</b>	Other government - County
	<b>What section of the Plan was addressed by Consultation?</b>	Market Analysis Non-Housing Community Development Strategy
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
17	<b>Agency/Group/Organization</b>	Irvine Company
	<b>Agency/Group/Organization Type</b>	Business Leader
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Needs Assessment Market Analysis Economic Development
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.

18	Agency/Group/Organization	C&C Development Company, Inc.
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Needs Assessment Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey In-Person Meeting This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
19	Agency/Group/Organization	Human Options, Inc.
	Agency/Group/Organization Type	Services-Victims of Domestic Violence
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs Housing Need Assessment
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey In-Person Meeting This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
20	Agency/Group/Organization	Irvine Childcare Project
	Agency/Group/Organization Type	Services-Children Other government – Local
	What section of the Plan was addressed by Consultation?	Anti-Poverty Strategy Market Analysis Public Services
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey In-Person Meeting This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
21	Agency/Group/Organization	Irvine Valley College
	Agency/Group/Organization Type	Services-Education
	What section of the Plan was addressed by Consultation?	Non-Housing Community Development Strategy Market Analysis Economic Development
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.



22	Agency/Group/Organization	Southern California Association of Governments
	Agency/Group/Organization Type	Planning Organization Regional Organization
	What section of the Plan was addressed by Consultation?	Housing Needs Assessment Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
23	Agency/Group/Organization	The Trust for Public Land
	Agency/Group/Organization Type	Public Land Agency
	What section of the Plan was addressed by Consultation?	Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey In-Person Meeting This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
24	Agency/Group/Organization	South County Outreach
	Agency/Group/Organization Type	Services-Housing Services-Children Services-Elderly Persons Services-Homeless
	What section of the Plan was addressed by Consultation?	Homeless Needs – Families with Children Homeless Strategy Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey In-Person Meeting Homelessness Focus Group This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
25	Agency/Group/Organization	Irvine Community Land Trust
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.

26	Agency/Group/Organization	Vocational Visions
	Agency/Group/Organization Type	Services-Persons with Disabilities Services-Employment
	What section of the Plan was addressed by Consultation?	Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
27	Agency/Group/Organization	Irvine Chamber of Commerce
	Agency/Group/Organization Type	Business Leader
	What section of the Plan was addressed by Consultation?	Market Analysis Economic Development
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
28	Agency/Group/Organization	U.S. Department of Housing and Urban Development
	Agency/Group/Organization Type	Other government – Federal
	What section of the Plan was addressed by Consultation?	Housing Market Analysis Homeless Needs Assessment
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey Conference Call This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
29	Agency/Group/Organization	Orange County Board of Supervisors
	Agency/Group/Organization Type	Other government – County
	What section of the Plan was addressed by Consultation?	Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.

30	Agency/Group/Organization	Orange County Public Works
	Agency/Group/Organization Type	Agency – Managing Flood Prone Areas Agency – Management of Public Land or Water Resources Other Government – County
	What section of the Plan was addressed by Consultation?	Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
31	Agency/Group/Organization	Orange County 4 Kids
	Agency/Group/Organization Type	Foster Care Agency/Facility
	What section of the Plan was addressed by Consultation?	Homeless Needs – Unaccompanied Youth Non-Housing Community Development Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
32	Agency/Group/Organization	Hoag Hospital
	Agency/Group/Organization Type	Health Agency
	What section of the Plan was addressed by Consultation?	Market Analysis Lead-Based Paint Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
33	Agency/Group/Organization	Project Self Sufficiency
	Agency/Group/Organization Type	Services – Homeless Homeless / Formerly Homeless Resident (ESG)
	What section of the Plan was addressed by Consultation?	Services-Homeless Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	In-Person Meeting Homelessness Focus Group This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.

34	Agency/Group/Organization	Chelsea Investment Co.
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Needs Assessment Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey In-Person Meeting This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
35	Agency/Group/Organization	City of Costa Mesa
	Agency/Group/Organization Type	Other Government – Local
	What section of the Plan was addressed by Consultation?	Non-Housing Community Development Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
36	Agency/Group/Organization	City of Santa Ana
	Agency/Group/Organization Type	Other Government – Local
	What section of the Plan was addressed by Consultation?	Non-Housing Community Development Strategy Homelessness Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
37	Agency/Group/Organization	City of Newport Beach
	Agency/Group/Organization Type	Other Government – Local
	What section of the Plan was addressed by Consultation?	Non-Housing Community Development Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.

38	Agency/Group/Organization	City of Tustin
	Agency/Group/Organization Type	Other Government – Local
	What section of the Plan was addressed by Consultation?	Non-Housing Community Development Strategy Homelessness Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
39	Agency/Group/Organization	Orange County Health – Adult & Older Adult Behavioral Health Services
	Agency/Group/Organization Type	Mental Health Agency / Facility
	What section of the Plan was addressed by Consultation?	Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
40	Agency/Group/Organization	Volunteers of America
	Agency/Group/Organization Type	Services – Homelessness
	What section of the Plan was addressed by Consultation?	Market Analysis Homelessness Needs – Veterans
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
41	Agency/Group/Organization	City of Irvine Disability Services
	Agency/Group/Organization Type	Other government – Local
	What section of the Plan was addressed by Consultation?	Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey In-Person Meeting This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.

42	Agency/Group/Organization	Jamboree Housing Corporation
	Agency/Group/Organization Type	Services – Housing
	What section of the Plan was addressed by Consultation?	Housing Needs Assessment Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
43	Agency/Group/Organization	California State Senate
	Agency/Group/Organization Type	Other Government – State
	What section of the Plan was addressed by Consultation?	Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
44	Agency/Group/Organization	Irvine Ranch Water District
	Agency/Group/Organization Type	Water District / Agency
	What section of the Plan was addressed by Consultation?	Non-Housing Community Development Strategy Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
45	Agency/Group/Organization	Innovative Housing Opportunities
	Agency/Group/Organization Type	Assisted Housing
	What section of the Plan was addressed by Consultation?	Housing Needs Assessment Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.

46	Agency/Group/Organization	CA Emerging Technology Fund (CETF)
	Agency/Group/Organization Type	Services – Narrowing the Digital Divide
	What section of the Plan was addressed by Consultation?	Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
47	Agency/Group/Organization	Cox Communications
	Agency/Group/Organization Type	Services - Broadband ISP
	What section of the Plan was addressed by Consultation?	Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Survey This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
48	Agency/Group/Organization	StandUp For Kids
	Agency/Group/Organization Type	Services – Homeless
	What section of the Plan was addressed by Consultation?	Services-Homeless Homelessness Needs – Unaccompanied Youth Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	In-Person Meeting Homelessness Focus Group This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.
49	Agency/Group/Organization	Illumination Foundation
	Agency/Group/Organization Type	Services – Homeless
	What section of the Plan was addressed by Consultation?	Services-Homeless Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	In-Person Meeting Homelessness Focus Group This consultation provided the stakeholder an opportunity to actively participate in the planning effort. The City requested input concerning the topics highlighted above from this stakeholder.



50	Agency/Group/Organization	Irvine Community Services Commission
	Agency/Group/Organization Type	Other Government – Local Civic Leaders
	What section of the Plan was addressed by Consultation?	Action Plan
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The Community Services Commission was consulted during Commission Meetings / Hearings.
51	Agency/Group/Organization	Irvine City Council
	Agency/Group/Organization Type	Other Government – Local Civic Leaders
	What section of the Plan was addressed by Consultation?	All Sections
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The City Council was consulted during City Council Meetings / Public Hearings.

Table 3 – Agencies, groups, organizations who participated

#### Identify any Agency Types not consulted and provide rationale for not consulting

The City maintains a list of agencies, organizations and other stakeholders that have expressed an interest in City's CDBG, ESG, and HOME programs and invited representatives from each entity to participate at multiple points in the planning process. All agencies were strongly encouraged to attend the Community Meetings and participate in surveys.

Any agency or organization that was not consulted and would like to be included in the City's list of stakeholders, the agency or organization may contact the Community Development Department, Housing Division at 949-724-7444 or online at [cityofirvine.org/cdbg](http://cityofirvine.org/cdbg).

#### Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	OC Community Resources	Consultation with the CoC indicates that the City's Homelessness Prevention strategy in this Consolidated Plan is consistent with the CoC's strategies.
2013-2021 Housing Element	City of Irvine	Strategic Plan goals are consistent with Housing Element policies and goals

Table 4 – Other local / regional / federal planning efforts



**Describe cooperation and coordination with other public entities, including the State and any adjacent units of general local government, in the implementation of the Consolidated Plan (91.215(l))**

To enhance coordination among the CoC, public and assisted housing providers and private and governmental health, mental health and service agencies, the City invited each of these entities to provide input on the needs of the community in the development of this Consolidated Plan. The City also hosted a homelessness focus group with nonprofit organizations and formerly homeless residents to discuss the City's approach to implementing the ESG program. The City monitors CoC policy making to ensure that local efforts correspond to changes in the regional approach to addressing the needs of homeless and low-income populations.

The Housing Division works with subrecipients of CDBG, ESG, and HOME funds to ensure a coordinated effort among service agencies in the region to address the needs of Irvine residents, including chronically homeless individuals and families, families with children, veterans and their families, unaccompanied youth, and persons who were recently homeless, but now live in permanent housing. To promote economic opportunities for low-income residents, the City coordinates with subrecipient social service agencies, businesses and housing developers to ensure that where there are job opportunities for low-income individuals in connection with HUD-assisted projects, information is disseminated through appropriate channels consistent with the objectives of Section 3 of the Housing and Community Development Act of 1968.

## AP-12 Participation – 91.105, 91.200(c)

### 1. Summary of citizen participation process/Efforts made to broaden citizen participation

#### Citizen Participation Outreach

Mode of outreach	Target of outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL
Newspaper Ad	Non-targeted/broad community	Newspaper ad published in the Irvine World News on October 7 and October 21, 2021 informing interested organizations of the availability of CDBG, ESG, and HOME funds for the 2022-2023 Program Year and inviting submission of applications.	18 CDBG public service applications, four CDBG capital project applications, and one ESG project application received.	Not applicable.	Not applicable.
Newspaper Ad	Non-targeted/broad community	Newspaper ad published in the Irvine World News on December 30, 2021 for an Irvine Community Services Commission public hearing on January 19, 2022 to receive input concerning housing, community and economic development needs in Irvine, to receive a presentation concerning the 2022-2023 Program Year NOFA process, and to review program performance.	No comments were received.	No comments were received.	Not applicable.

Mode of outreach	Target of outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL
Public Hearing	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Any other language</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	A public hearing was held before the Irvine Community Services Commission on January 19, 2022 in the Irvine City Council Chamber to receive input concerning housing, community and economic development needs in Irvine, to receive a presentation concerning the 2022-2023 Program Year NOFA process, and to review program performance.	No public comments were received.	No public comments were received.	<a href="http://cityofirvine.org/cdbg">cityofirvine.org/cdbg</a>
Newspaper Ad	Non-targeted/broad community	Newspaper ad published in the Irvine World News on March 24, 2022 for an Irvine City Council public hearing on April 26, 2022 to receive public comments concerning the 2022-2023 Action Plan.	TBD	TBD	Not applicable.

Mode of outreach	Target of outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL
Public Hearing	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Any other language</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	A public hearing was held before the Irvine City Council on April 26, 2022 to receive comments on the draft 2022-2023 Annual Action Plan prior to adoption and submission to HUD.	Refer to Appendix A.	All comments were accepted.	<a href="https://cityofirvine.org/cdbg">cityofirvine.org/cdbg</a>

Table 5 – Citizen Participation Outreach

## Expected Resources

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### **AP-15 Expected Resources – 91.220(c) (1,2)**

#### Introduction

For the 2022-2023 Program Year, the City will receive \$2,311,953 of CDBG funds, \$168,307 of ESG funds, and \$972,418 of HOME funds from HUD. When combined with prior year CDBG and HOME resources, this Action Plan allocates \$5,037,506 to new activities.

#### Anticipated Funding Allocations from HUD

As of March 24, 2022, HUD has not announced the final grant allocations of CDBG, ESG, and HOME funds the City will receive for the 2022-2023 Program Year. This is because the Consolidated Appropriations Act for Federal Fiscal Year 2022 was not signed into law until March 15, 2022. The Consolidated Appropriations Act provides HUD with 60 days to calculate CDBG, ESG, and HOME grant allocations to states, counties, and cities.

Therefore, to facilitate the citizen participation process, ensure timely adoption and submission of the Action Plan, and preserve the ability of the City of Irvine to start its 2022-2023 Program Year on July 1, 2022, the City's Community Services Commission recommended project and activity funding levels based on the 2021-2022 funding levels. These estimated funding levels include \$2,311,953 of CDBG funds, \$168,307 of ESG funds, and \$972,418 of HOME funds as well as prior year CDBG and HOME resources. In total, this Draft Action Plan allocates \$5,037,506 to projects and activities.

#### Adjustment of Funding to Projects and Activities Subsequent to HUD's Announcement of Final Allocations

As recommended by the Community Services Commission at its meeting of March 16, 2022 and subject to City Council approval after the public hearing on April 26, 2022, the Housing Division will proportionally increase or decrease the project and activity funding budgets to conform with the final allocation amounts and prior year CDBG and HOME resources, except that the Fair Housing Foundation shall receive its full request of \$20,000. This adjustment will occur after HUD announces the final formula grant allocations of CDBG, ESG, and HOME funds and prior to submission to HUD. The final Action Plan containing the final adjusted funding allocations to projects and activities will be posted at [cityofirvine.org/cdbg](https://cityofirvine.org/cdbg).

## Anticipated Resources

Program	Uses of Funds	Expected Amount Available Year 3				Expected Amount Available Remainder of Consolidated Plan	Narrative Description
		Annual Allocation	Program Income	Prior Year Resources	Total		
CDBG	Acquisition Administration and Planning Housing Public Improvements Public Services	\$2,311,953	\$0	\$125,334	\$2,437,287	\$4,623,906	Expected amounts are based on the current annual allocation multiplied by three remaining years of Consolidated Plan.
ESG	Homelessness Services	\$168,307	\$0	\$0	\$168,307	\$336,614	
HOME	Multifamily rental new construction Homeowner rehab Acquisition	\$972,418	\$0	\$1,459,494	\$2,431,912	\$1,944,836	

Table 6 – Expected Resources – Priority Table

## Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

Depending on the financing structure of a given activity, it may be advantageous for the City to use CDBG, ESG, and HOME funds to leverage appropriate state, local and private resources, including but not limited to those listed below.

### State Resources

- State Low-Income Housing Tax Credit Program
- Building Equity and Growth in Neighborhoods Program (BEGIN)
- CalHome Program
- Multifamily Housing Program (MHP)
- Housing Related Parks Grant
- CalHFA Single and Multi-Family Program
- Mental Health Service Act (MHSA) Funding

### Local Resources

- Irvine Community Land Trust (ICLT) Funding
- Orange County Housing & Finance Agency (OCHFA) Funding
- Southern California Home Financing Authority (SCHFA) Funding
- Orange County Continuum of Care Program
- Orange County Housing Authority (OCHA) Programs

### Private Resources

- Federal Home Loan Bank Affordable Housing Program (AHP)
- Community Reinvestment Act Programs
- United Way Funding
- Private Contributions

### Matching Requirements

The City of Irvine leverages HOME funds with other local and private non-federal resources to meet its HOME program 25 percent matching requirement. Eligible forms of HOME match are documented by the City and reported to HUD as part of the CAPER each year. HUD waived the HOME program matching requirement for federal fiscal years 2020, 2021 and 2022 due to the COVID-19 pandemic. For the ESG program, the City and its subrecipients will collaborate to meet the 1:1 matching requirement.

**If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

Pursuant to a 2006 Development Agreement pertaining to Planning Area 36, the Irvine Land Company, LLC agreed to convey 17-acres of land to the City of Irvine between 2011 and 2023. The Development Agreement required the dedication of land for affordable housing to commence on December 31, 2011, with a minimum of three-acres every three years thereafter until the 17-acre requirement is met.

The first site was dedicated to the City in 2011 during the period covered by the 2010-2014 Consolidated Plan. In December 2013, AMCAL Multi-Housing, Inc. broke ground at this site and developed 104 units of affordable rental housing known as Alegre Apartments, which opened in 2015.

The second site was dedicated to the City in August 2014, and the third site was dedicated in May 2016. As of February 2020, the second site at Native Spring is in the construction stages for 68 affordable homeownership units to be developed by the Irvine Community Land Trust (called Sage Park), and the third site at the corner of Sand Canyon and Nightmist was developed by Chelsea Investment Co. in conjunction with the Irvine Community Land Trust (completed in December 2020) to provide 80 units of affordable rental housing (Salerno Apartments).

**Based on the Development Agreement, the remaining seven acres will be dedicated to affordable housing and must be conveyed to the City by December 31, 2022. (during the current Consolidated Plan period).**Discussion

The City will utilize approximately \$16.6 million of CDBG, ESG, and HOME funds during the Consolidated Plan period to increase the supply of affordable housing, preserve existing housing that is affordable to its occupants, provide public services to low- and moderate-income residents including those with special needs, address homelessness through prevention and rapid re-housing, and improve public facilities and infrastructure to provide a suitable and more accessible living environment for all residents, including residents with disabilities.



## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives

Goal Name	Time Period	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
<b>Goal 1 Affordable Housing</b>	2020 - 2024	Affordable Housing	Citywide	Increase the supply of affordable housing	CDBG: \$551,729 HOME: \$1,901,106 HOME CHDO: \$433,565	1 Household Housing Unit
<b>Description:</b> In partnership with housing developers, leverage HOME and CDBG funds in support of the development or creation of affordable rental housing opportunities that are affordable to households earning less than 30, 50, or 60 percent of Area Median Income, including units for individuals, families, persons with special needs, and persons experiencing homelessness or at-risk of homelessness.						
<b>Goal 2 Affordable Housing Preservation</b>	2021 - 2024	Affordable Housing	Citywide	Preserve the supply of affordable housing	CDBG: \$0 HOME: \$0  <b>*Note:</b> Program operated using prior year(s) Residential Rehabilitation Program loan payoffs allocated as part of the 2021-2022 Annual Action Plan.	Homeowner Housing Rehabilitated: 13 Household Housing Units
<b>Description:</b> Through the City's Residential Rehabilitation Program and similar initiatives, design and implement programs for the preservation of the physical and functional integrity of existing housing units occupied by low- and moderate-income households. Include, where appropriate, incentives for energy efficiency and conservation measures to increase sustainability and reduce monthly household utility costs to promote greater affordability.						
<b>Goal 3 Public Services</b>	2020 - 2024	Non-Housing Community Dev.	Citywide	Equal access to housing opportunities Services for LMI youth, families, and seniors Services for residents with special needs	CDBG: \$302,925	Public service activities other than Low / Moderate-Income Housing Benefit: 1,681 Persons Assisted
<b>Description:</b> Provide public services designed to affirmatively further fair housing choice and to provide youth and low-income families with services including but not limited to childcare, youth educational activities, and other activities related to volunteerism, health, fitness, recreation, and risky behavior reduction. Provide public services for residents with special needs including, but not limited to, those concerned with domestic violence, human trafficking, mental disabilities, physical disabilities, developmental disabilities, substance abuse/alcoholism, health, HIV/AIDS, and other conditions. Provide public services for seniors so elderly residents can live as independently as possible.						

Goal Name	Time Period	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
<b>Goal 4 Homelessness Services</b>	2020 - 2024	Homeless	Citywide	Street outreach to address homelessness Homelessness Prevention Rapid Re-Housing	CDBG: \$43,867 ESG: \$155,684	Homelessness Prevention: 117 Persons Assisted
<b>Description:</b> Provide a comprehensive set of programs designed to address literal homelessness through street outreach and rapid re-housing activities and to prevent homelessness through the implementation of homelessness prevention programs to help Irvine residents experiencing severe housing cost burdens to remain stably housed and not fall into homelessness.						
<b>Goal 5 Public Facilities and Improvements</b>	2020 - 2024	Non-Housing Community Dev.	Citywide	Improve public facilities and infrastructure Address material and architectural barriers to accessibility for elderly persons and severely disabled adults	CDBG: \$1,076,376	Public Facility or Infrastructure Activities other than Low / Moderate-Income Housing Benefit: 4 Public Facilities 10,089 Persons Assisted
<b>Description:</b> Improve public facilities and infrastructure to benefit low- and moderate-income residents or those presumed under HUD regulations to be low- and moderate-income such as the elderly and disabled adults. This includes facilities owned and operated by the City of Irvine that are open and available to residents as well as facilities owned and operated by nonprofit organizations serving the public that are open to the public during normal business hours. Where possible, improvements will focus on removing material and architectural barriers to accessibility for elderly persons and severely disabled adults.						
<b>Goal 6 Program Administration</b>	2020 - 2024	All	Citywide	All	CDBG: \$462,390 ESG: \$12,623 HOME: \$97,241	N/A
<b>Description:</b> Provide for the timely and compliant administration of the CDBG, ESG, and HOME programs in accordance with HUD policy and federal regulations. HUD requires the City to represent Administration funds as a "goal" within the Strategic Plan so that the sources of funds (refer to Section SP-35) are fully allocated to goals.						

Table 7 – Goals Summary

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b)

Four extremely low-income, four low-income, and four moderate-income families will benefit from the City's affordable housing activities that meet 91.215(b) requirements.

## AP-35 Projects – 91.220(d)

### Introduction

To address the high priority needs identified in the Strategic Plan, the City of Irvine will invest CDBG, ESG, and HOME funds in projects that develop new rental housing units and preserve existing affordable housing, provide fair housing services, provide services to low- and moderate-income residents including youth and seniors, provide services to residents with special needs, prevent homelessness and improve City public facilities. Together, these projects will address the needs of low- and moderate-income Irvine residents.

### Projects

2022-2023 Projects	
1.	Affordable Housing
2.	Affordable Housing Preservation
3.	Public Services
4.	Homelessness Services
5.	ESG22 Irvine (ESG Activities)
6.	Public Facilities and Improvements
7.	Program Administration

Table 8 – Project Information

### Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Based on the Strategic Plan, the City is allocating 100 percent of its non-administrative CDBG, ESG, and HOME investments for Program Year 2022-2023 to projects and activities that benefit low- and moderate-income people throughout the City.

The primary obstacles to meeting the underserved needs of low- and moderate-income people include lack of funding from federal, state and other local sources and the high cost of housing that is not affordable to low-income residents. To address these obstacles, the City is investing CDBG, ESG, and HOME funds through the 2022-2023 Action Plan in projects that develop new affordable rental housing units, projects that provide public services to low- and moderate-income people and those with special needs, projects that address homelessness, and projects that provide public facilities and ADA improvements to community facilities.

## AP-38 Project Summary

Table 9 – Project Summary Information

1	<b>Project Name</b>	Affordable Housing
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Affordable Housing
	<b>Needs Addressed</b>	Increase the supply of affordable housing
	<b>Funding</b>	CDBG: \$551,729 HOME: \$1,901,106 HOME CHDO: \$433,565
	<b>Description</b>	In partnership with housing developers, leverage HOME and CDBG funds in support of the development or creation of affordable rental housing opportunities that are affordable to households earning less than 30, 50, or 60 percent of Area Median Income, including units for individuals, families, persons with special needs, and persons experiencing homelessness or at-risk of homelessness.
	<b>Target Date</b>	6/30/2023
	<b>Estimate the number and type of families that will benefit</b>	Approximately 1 low- and moderate-income household will benefit from affordable rental housing.
	<b>Location Description</b>	Specific locations to be determined.
	<b>Planned Activities</b>	Families Forward: Affordable Housing Acquisition (1 household) \$551,729 (CDBG) Affordable Rental Housing Development (To be determined) \$1,901,106 CHDO Activity (To be determined) \$433,565 (HOME CHDO)

2	<b>Project Name</b>	Affordable Housing Preservation
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Affordable Housing
	<b>Needs Addressed</b>	Preserve the supply of affordable housing
	<b>Funding</b>	CDBG Program Income from Loan Payoffs: \$0 HOME Program Income from Loan Payoffs: \$0 Note: Loan payoff funding allocated in 2021-2022 Action Plan.
	<b>Description:</b>	Through the City's Residential Rehabilitation Program and similar initiatives, design and implement programs for the preservation of the physical and functional integrity of existing housing units occupied by low- and moderate-income households. Include, where appropriate, incentives for energy efficiency and conservation measures to increase sustainability and reduce monthly household utility costs to promote greater affordability.
	<b>Target Date</b>	6/30/2023
	<b>Estimate the number and type of families that will benefit</b>	Approximately 13 households with low- and moderate-income people will benefit from affordable housing preservation activities.
	<b>Location Description</b>	Citywide
	<b>Planned Activities</b>	City of Irvine: Residential Rehabilitation Program (13 households)

3	<b>Project Name</b>	Public Services
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Public Services
	<b>Needs Addressed</b>	Equal access to housing opportunities Services for LMI youth, families, and seniors Services for residents with special needs
	<b>Funding</b>	CDBG: \$302,925
	<b>Description:</b>	Provide public services designed to affirmatively further fair housing choice and to provide youth and low-income families with services including but not limited to childcare, youth educational activities, and other activities related to volunteerism, health, fitness, recreation, and risky behavior reduction. Provide public services for residents with special needs including, but not limited to, those concerned with domestic violence, human trafficking, mental disabilities, physical disabilities, developmental disabilities, substance abuse/alcoholism, health, HIV/AIDS, and other conditions. Provide public services for seniors so elderly residents can live as independently as possible.
	<b>Target Date</b>	6/30/2023
	<b>Estimate the number and type of families that will benefit</b>	Approximately 1,681 low- and moderate-income people will benefit from public service activities.
	<b>Location Description</b>	Citywide
	<b>Planned Activities</b> Access California Services: Wrap-Around Health & Human Services (100 people) \$25,200 Age Well Senior Services: Senior Nutrition Program (624 people) \$48,558 Assistance League of Irvine: Operation School Bell (300 people) \$29,917 City of Irvine: Community Services Scholarship Program (46 people) \$15,400 Fair Housing Foundation: Fair Housing Services Program (255 people) \$20,000 Irvine Adult Day Health Services, Inc.: Skilled Nursing Care Program (42 people) \$47,858 Irvine Children's Fund: School Age Child Care Scholarship Program (32 people) \$64,758 Irvine Public Schools Foundation: Program Scholarships (85 people) \$17,000 KCS Health Center: Opioid Use Disorder Care Coordination Program (70 people) \$18,300 Radiant Health Centers: HIV Support Services & Medical Clinic (127 people) \$15,934	

4	<b>Project Name</b>	Homelessness Services
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Homelessness Services
	<b>Needs Addressed</b>	Street outreach to address homelessness Homelessness Prevention Rapid Re-Housing
	<b>Funding</b>	CDBG: \$43,867
	<b>Description</b>	Provide a comprehensive set of programs designed to address literal homelessness through street outreach and rapid re-housing activities and to prevent homelessness through the implementation of homelessness prevention programs to help Irvine residents experiencing severe housing cost burdens to remain stably housed and not fall into homelessness.
	<b>Target Date</b>	6/30/2023
	<b>Estimate the number and type of families that will benefit</b>	61 people
	<b>Location Description</b>	Citywide
	<b>Planned Activities</b>	Families Forward: Families Forward Housing Services Program (50 people) \$22,200 South County Outreach: Homelessness Prevention Program (11 people) \$21,667

5	<b>Project Name</b>	ESG22 Irvine (ESG Activities)
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Homelessness Services
	<b>Needs Addressed</b>	Homelessness Prevention
	<b>Funding</b>	ESG: \$168,307
	<b>Description</b>	Provide a comprehensive set of programs designed to address literal homelessness to prevent homelessness through the implementation of homelessness prevention program to help Irvine residents experiencing severe housing cost burdens to remain stably housed and not fall into homelessness.
	<b>Target Date</b>	6/30/2024
	<b>Estimate the number and type of families that will benefit</b>	56 people
	<b>Location Description</b>	Citywide
	<b>Planned Activities</b>	Families Forward: Homelessness Prevention Program (56 people) \$149,184 2-1-1 Orange County: Homeless Management Information System (HMIS) \$6,500 ESG Administration \$12,623



6	<b>Project Name</b>	Public Facilities and Improvements
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Public Facilities and Improvements
	<b>Needs Addressed</b>	Improve public facilities and infrastructure Address material and architectural barriers to accessibility for elderly persons and severely disabled adults
	<b>Funding</b>	CDBG: \$1,076,376
	<b>Description</b>	Improve public facilities and infrastructure to benefit low- and moderate-income residents or those presumed under HUD regulations to be low- and moderate-income such as the elderly and disabled adults. This includes facilities owned and operated by the City of Irvine that are open and available to residents as well as facilities owned and operated by nonprofit organizations serving the public that are open to the public during normal business hours. Where possible, improvements will focus on removing material and architectural barriers to accessibility for elderly persons and severely disabled adults.
	<b>Target Date</b>	6/30/2023
	<b>Estimate the number and type of families that will benefit</b>	The ADA projects will serve 10,089 disabled adults. Data Source: <a href="https://www.hudexchange.info/programs/census/acs-cdbg-disability-data/all-tracts-summarized-by-grantee/">https://www.hudexchange.info/programs/census/acs-cdbg-disability-data/all-tracts-summarized-by-grantee/</a>
	<b>Location Description</b>	Citywide
	<b>Planned Activities</b>	Willows Park ADA Improvements \$201,376 Irvine Great Park ADA Improvements \$475,000 Irvine Fine Arts Center ADA Improvements \$200,000 Irvine Lakeview Senior Center ADA Improvements \$200,000

7	<b>Project Name</b>	Program Administration
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Program Administration
	<b>Needs Addressed</b>	All needs
	<b>Funding</b>	CDBG: \$462,390 HOME: \$97,241
	<b>Description</b>	This project will provide for the administration of the CDBG and HOME programs. Note that HUD requires ESG administration is required to appear with the ESG22 activities in a separate project.
	<b>Target Date</b>	6/30/2023
	<b>Estimate the number and type of families that will benefit</b>	Not applicable.
	<b>Location Description</b>	Citywide
	<b>Planned Activities</b>	City of Irvine Housing Division: CDBG Program Administration \$462,390 City of Irvine Housing Division: HOME Program Administration \$97,241

## AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The City of Irvine's 2020-2024 Consolidated Plan did not identify specific areas of low-income and minority concentration. All CDBG funds will be directed toward activities benefitting low- and moderate-income residents Citywide.

### Geographic Distribution

Target Area	Percentage of Funds
Citywide	100%

Table 10 – Geographic Distribution

### Rationale for the priorities for allocating investments geographically

Not applicable.

### Discussion

Based on the Strategic Plan, the City is allocating 100 percent of its non-administrative CDBG, ESG, and HOME investments for Program Year 2022-2023 to projects and activities that benefit low- and moderate-income people citywide.

# Affordable Housing

## AP-55 Affordable Housing – 91.220(g)

### Introduction

A high priority affordable housing need is identified in the 2020-2024 Consolidated Plan and two Strategic Plan goals are established to provide the framework necessary to invest CDBG and HOME funds to address the affordable housing needs of the City.

### Expand the Supply of Affordable Housing

Based on evaluation of ACS and CHAS data, there is a high need for additional rental housing units affordable for households earning less than 80 percent of AMI. Of the households earning 0-80 percent of AMI, 22,540 are cost burdened households — meaning households paying more than 30 percent of their income for housing. Additionally, 14,393 of the cost burdened households are considered severely cost burdened households — meaning that they pay more than 50 percent of their income for housing. Of the 14,393 severely cost burdened households, 10,228 are renters. Of those severely cost burdened renter households, 8,954 households earn less than 50 percent of AMI and are considered the most at risk of becoming homeless.

The development of rental housing units affordable for low- and moderate-income households is rated as the highest priority need because over 45 percent of the City's households that earn less than 50 percent of AMI are renters who experience a severe cost burden, making these households the most at risk of homelessness. Additionally, responses to the 2020-2024 Consolidated Plan Survey indicated the significant need for additional affordable housing units in Irvine. Affordable housing development was rated as a high priority need based on the demand for service reported by the City's Housing Division.

One Year Goals for the Number of Households to be Supported	
Homeless	1
Non-Homeless	13
Special-Needs	0
Total	14

Table 11 – One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	13
Acquisition of Existing Units	1
Total	14

Table 12 – One Year Goals for Affordable Housing by Support Type

## Discussion

The Strategic Plan identifies a high priority need to increase the supply of affordable housing and a high priority need to preserve the supply of affordable housing. During the 2022-2023 Program Year, the City of Irvine will invest CDBG funds to create one additional unit of affordable rental housing.

The City will also continue implementing the Residential Rehabilitation Program using funds allocated in previous Action Plans to preserve the supply of existing affordable housing units. The Residential Rehabilitation Program anticipates serving three extremely-low income households, three low-income households, and seven moderate-income households.

## **AP-60 Public Housing – 91.220(h)**

### **Introduction**

Public housing and other assisted housing programs are part of the efforts by the County of Orange to address the affordable housing needs of low- and moderate-income families in Irvine. The Orange County Department of Housing and Community Services, acting as the Orange County Housing Authority (OCHA), oversees public housing programs for participating jurisdictions, including Irvine.

As a standard performing housing authority, OCHA provides affordable housing for low-income families, seniors and persons with disabilities. OCHA administers the Section 8 Housing Choice Voucher Program (Section 8), which provides rental assistance in all unincorporated areas of Orange County and in 34 participating cities.

There are no public housing developments in Irvine. All public housing programs consist of housing choice and project-based vouchers administered by the OCHA. Over 10,000 housing choice vouchers are administered by the OCHA throughout Orange County. Of these, 1,046 were issued to Irvine residents. Families received 267 housing vouchers, 217 housing vouchers were issued to Irvine's disabled population, and 562 housing vouchers were issued to Irvine's elderly population. OCHA monitors all units to ensure they are in adequate condition, meeting the Section 8 Housing Quality Standards (HQS).

### **Actions planned during the next year to address the needs to public housing**

The most pressing need is the high demand for affordable housing throughout Orange County. This need is documented by the long waitlists for vouchers, which consists of 8,019 households with one to four members per household and 662 households with five or more members per household.

OCHA noted that housing costs are quite high in Orange County, especially in Irvine, often resulting in cost burdened households.

Beyond the need for affordable housing, OCHA seeks to address the needs of residents to gain access to service programs such as:

- Job training and placement
- Youth employment and educational programs
- Childcare services
- Transportation
- Medical facilities near affordable housing

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

OCHA supports resident councils and actively seeks input from PHA residents on the management and implementation of OCHA policies and procedures.

Housing prices in Orange County are so high that homeownership opportunities are limited for OCHA residents. On a limited basis, OCHA has partnered with Habitat for Humanity to provide two affordable homeownership units, but these types of efforts are limited.

OCHA also manages a Housing Choice Voucher Homeownership Program that it markets to all OCHA program tenants.

**If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

Not applicable. OCHA is designated as a High Performing PHA.

### **Discussion**

Through participation in the Cities Advisory Committee, Irvine continues to support OCHA in effective administration of its limited affordable housing resources. The City also continues to work with OCHA to include the residents with Section 8 Housing Choice Vouchers in the federally-funded programs administered by the City, including any homeownership programs.

## **AP-65 Homeless and Other Special Needs Activities – 91.220(i)**

### **Introduction**

The City will invest CDBG, ESG, and HOME funds during the 2022-2023 Program Year to address high priority needs identified in the Consolidated Plan including homelessness services that conduct street outreach, provide rapid re-housing assistance, prevent homelessness through short- and medium-term assistance to keep residents at-risk of homelessness housed, and to expand the supply of affordable housing in Irvine. The City will also invest CDBG funds to address individuals and families with special needs, including victims of domestic violence and frail elderly persons.

### **Homelessness Services**

To address incidences of homelessness in Irvine and to prevent extremely low-income Irvine families from becoming homeless, the City places a high priority on programs that work to prevent homelessness or rapidly assist homeless individuals in the community. To address this need, the City will invest CDBG and ESG funds in programs that conduct street outreach, provide rapid re-housing assistance, prevent homelessness through short- and medium-term assistance to keep residents at-risk of homelessness housed.

### **Services for Residents with Special Needs**

Analysis of available data and consultation with organizations providing services for special needs populations revealed a high need for a range of additional services including, but not limited to, those concerned with domestic violence, human trafficking, mental disabilities, physical disabilities, developmental disabilities, substance abuse/alcoholism and HIV/AIDS.

To help individuals and families with special needs, the City will invest CDBG public service funds to support the following programs:

- Irvine Adult Day Health Services Inc: Skilled Nursing Program
- Radiant Health Centers: HIV Support Services & Medical Clinic Program

Additionally, the City will invest CDBG capital improvement funds to support the rehabilitation and removing and replacing architectural barriers at the following facilities:

- Willows Park
- Irvine Great Park
- Fine Arts Center
- Lakeview Senior Center



## **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

To reach out to unsheltered homeless persons and assess their individual needs for the purpose of connecting them with available emergency shelter, transitional housing, and permanent housing, the City of Irvine, through its Housing Division, Public Safety Department and Community Services Department will provide information and referrals — primarily to 2-1-1 Orange County. To reduce and end homelessness, the City of Irvine will provide CDBG public service funds to the following activities:

- Families Forward: Families Forward Housing Program
- South County Outreach: Homelessness Prevention Program

Furthermore, the City of Irvine will provide capital improvement funding for the following activities that will address homelessness:

- Families Forward: Condominium Acquisition for Affordable Housing (CDBG)

Additionally, the City of Irvine will provide ESG funds to the following activities:

- Families Forward: Homelessness Prevention Program
- 2-1-1 OC: Homelessness Management Information System (HMIS)

## **Addressing the emergency shelter and transitional housing needs of homeless persons**

To address the emergency shelter and transitional housing needs of homeless persons, the City supports the Families Forward Housing Program that provides transitional housing, counseling and case management to families at risk of homelessness. The City has provided financial support through the CDBG and ESG programs in other recent Action Plans for Human Options, an organization providing emergency shelter and support services to victims of domestic violence and for StandUp for Kids, an organization dedicated to ensuring that homeless youth have the resources to remain safe and become stably housed.

Other organizations in the CoC addressing the emergency shelter and transitional housing needs of homeless persons include Orange Coast Interfaith Shelter, Orange County Rescue Mission and WISEPlace.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

To address the needs of homeless families, families with children, veterans and their families, the City supports the Families Forward Housing Program, which provides transitional housing, counseling and case management to families at risk of homelessness. Each year Families Forward serves over 175 unduplicated people through its housing program. With the COVID-19 Pandemic affecting many more families with homelessness, in 2021 Families Forward helped prevent or end homelessness for approximately 668

families. When paired with financial counseling, career coaching and other available case management services, Families Forward makes certain that families are ready to succeed in their transition to permanent housing. Additionally, the City will use ESG funds to support the Families Forward homelessness prevention programs to ensure that homeless individuals have opportunities to become housed and to remain stably housed.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

To help low-income individuals and families avoid becoming homeless, the City provides CDBG public service and ESG funds to homelessness prevention programs operated by Families Forward and South County Outreach. These programs provide emergency rental assistance to help Irvine residents avoid eviction from their homes in the event of unforeseen economic circumstances. Other South County Outreach services that support family well-being include a community food pantry, case management in the development of a self-sufficiency action plan and a computer learning lab.

## **Discussion**

The City of Irvine considers ending and preventing homelessness a high priority and will support CDBG public service and ESG-funded activities that help prevent homelessness and that provide a structured path to stable housing for individuals and families who become homeless.

## **AP-75 Barriers to affordable housing – 91.220(j)**

### **Introduction**

A barrier to affordable housing is a public policy or nongovernmental condition that constrains the development or rehabilitation of affordable housing. Barriers can include land use controls, property taxes, state prevailing wage requirements, environmental protection, cost of land and monetary resources. Barriers to affordable housing are distinguished from impediments to fair housing choice in the sense that barriers are lawful and impediments to fair housing choice are usually unlawful.

Based on information gathered during community meetings, the Consolidated Plan Needs Assessment Survey, the 2013-2021 Housing Element and market analysis, the primary barriers to affordable housing in Irvine are housing affordability and the lack of monetary resources necessary to develop and sustain affordable housing. The two barriers are related in the sense that demand for affordable housing exceeds the supply and insufficient resources are available to increase the supply of affordable housing to meet demand.

**Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

In the development of the 2013-2021 Housing Element, the City evaluated significant public policies affecting affordable housing development, such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, and growth limitations. Based on this evaluation, the City determined that it has taken all appropriate and necessary steps to ameliorate the negative effects of public policies that may have been a barrier to affordable housing. Moreover, the City is actively engaged with affordable housing developers concerning the siting of additional future affordable housing and ensuring that the entitlement process runs smoothly from inception to completion.

### **Discussion**

To address housing affordability and the lack of monetary resources for affordable housing, this Strategic Plan calls for the investment of a significant portion of CDBG and HOME funds for the development of 140 new affordable rental housing units over the next five years. Although the City no longer has access to Redevelopment Housing Set-Aside funds, the City will continue to leverage its CDBG and HOME funds to attract private and other available public resources to facilitate affordable housing development, including land conveyed to the City for the purpose of affordable housing. This strategy will increase the supply of affordable housing in Irvine.

## **AP-85 Other Actions – 91.220(k)**

### **Introduction**

The City's planned investment of CDBG, ESG, and HOME funds through the 2022-2023 Action Plan will address obstacles to meeting underserved needs, foster and maintain affordable housing, reduce lead-based paint hazards, reduce the number of poverty-level families, further develop institutional structure, and enhance coordination between public and private housing and social service agencies.

### **Actions planned to address obstacles to meeting underserved needs**

The primary obstacles to meeting the underserved needs of low- and moderate-income people include lack of funding from federal, state and other local sources, and the high cost of housing that is not affordable to low-income people. To address these obstacles, the City is investing CDBG funds to finance Families Forward's acquisition of one condominium unit to be rented affordably for 55 or more years. In the future, over \$1.1 million of HOME funds in this Action Plan will be committed to a multifamily affordable housing development to be determined based on an over-the-counter application process to be implemented during Program Year 2022-2023 and future Program Years. In recent action plans, the City invested its available HOME funds in the Cartwright Affordable Housing project that will develop 60 new units of rental housing in the Irvine Business Complex.

The City will continue offering low-interest loans or emergency grants to low- and moderate-income Irvine homeowners using CDBG and HOME funds allocated in previous years. The Residential Rehabilitation Program provides financing for home improvements necessary to ensure that Irvine residents can continue to live in quality housing that is already affordable to the occupants.

The 2022-2023 Action Plan also includes investments in public facilities improvements that will provide improved accessibility for persons with disabilities to utilize public facilities and enjoy public spaces. Planned investments in prior year action plans allow for facilities owned and operated by Irvine nonprofit organizations will ensure that special needs populations including severely disabled adults and residents with HIV/AIDS are served.

To address underserved needs, the City is allocating 100 percent of its non-administrative CDBG, ESG, and HOME funds in Program Year 2022-2023 to projects and activities that benefit low- and moderate-income people or people presumed under HUD regulations to be low- and moderate-income.

### **Actions planned to foster and maintain affordable housing**

During the 2022-2023 Program Year, the City's Housing Division will use CDBG and HOME funds allocated in prior years to continue preserving and maintaining existing affordable housing through the City of Irvine Residential Rehabilitation Program. The program anticipates providing up to 13 deferred loans of approximately \$25,000 to low- and moderate-income owners of single-family dwellings.

### **Actions planned to reduce lead-based paint hazards**

The Residential Lead Based Paint Hazard Reduction Act of 1992 (Title X) emphasizes prevention of childhood lead poisoning through housing-based approaches. To reduce lead-based paint hazards, the City of Irvine Residential Rehabilitation Program will conduct lead-based paint testing and risk assessments for each property assisted that was built prior to January 1, 1978 and will incorporate safe work practices or abatement into the scope of work as required to reduce lead-based paint hazards in accordance with 24 CFR Part 35.

### **Actions planned to reduce the number of poverty-level families**

The implementation of CDBG, ESG, and HOME activities meeting the goals established in the Consolidated Plan-Strategic Plan and this Action Plan will help to reduce the number of poverty-level families by:

- Supporting activities that increase the supply of housing that is affordable to low- and moderate-income households;
- Supporting a continuum of housing and public service programs to prevent and eliminate homelessness;
- Supporting housing preservation programs that ensure low-income households have a safe, decent and appropriate place to live; and
- Supporting public services through various nonprofits funded by CDBG that serve the community's youth, seniors, families, veterans and residents with special needs.

In addition to these local efforts, mainstream state and federal resources also contribute to reducing the number of individuals and families in poverty. Federal programs such as the Earned Income Tax Credit and Head Start provide a pathway out of poverty for families who are ready to pursue employment and educational opportunities. Additionally in California, the primary programs that assist families in poverty are CalWORKS, CalFresh (formerly food stamps) and Medi-Cal. Together these programs provide individuals and families with employment assistance, subsidy for food, medical care, childcare and cash payments to meet basic needs such as housing, nutrition and transportation. Other services are available to assist persons suffering from substance abuse, domestic violence and mental illness.

### **Actions planned to develop institutional structure**

The institutional delivery system in Irvine is best represented through the collaboration between local government and an outstanding set of nonprofit organizations that carry out a diverse array of human service programs to enrich the lives of residents. These relationships are collaborative—each organization partnering with the next to ensure that all Irvine residents have the support necessary to lead fulfilling lives. Affordable housing development and preservation activities will be carried out by the Housing Division of the Community Development Department in partnership with housing developers and contractors. Guided by the Strategic Plan, public service activities will be carried out by nonprofit organizations and City departments to serve low- and moderate-income residents. ESG activities will include a full array of services designed not only to

identify and address homelessness, but also to ensure that those who are housed can remain housed. The Housing Division will collaborate with the Community Services and Public Works departments on City-owned public facilities improvements, and will partner closely with nonprofit organizations who have received capital improvement funds to ensure their projects are delivered on time, within budget, and in compliance with all federal, state, and local regulations.

Through technical assistance and the annual Notice of Funding Availability process commencing each November, the City continues to develop and expand local institutional structure by strengthening existing partnerships and leveraging the experience of organizations that previously have not participated in locally-administered federal programs to expand the number of program offerings available to residents. The City consistently funds a variety of high-quality services that address underserved needs in Irvine.

#### **Actions planned to enhance coordination between public and private housing and social service agencies**

To enhance coordination between public and private housing and social service agencies, the City will welcome and encourage the participation of a wide variety of agencies and organizations that deliver housing and supportive services that add value to the lives of low- and moderate-income residents in Irvine.

#### **Discussion**

In the implementation of the 2022-2023 Action Plan, the City will invest CDBG, ESG, and HOME resources to address obstacles to meeting underserved needs, foster and maintain affordable housing, reduce lead-based paint hazards, reduce the number of poverty-level families, develop institutional structure and enhance coordination between public and private housing and social service agencies.

According to the HOME program regulations, HOME-assisted ownership housing must be modest housing with a maximum purchase price (for homeownership programs) or after-rehabilitation property value (for rehabilitation programs) that does not exceed 95 percent of the median purchase price for the area as published by HUD or as determined by the City in accordance with 24 CFR 92.254(a)(2)(iii). The current HUD-published value for existing one-unit homes in Orange County is \$570,000. This value is substantially lower than the median existing single family and existing condominium residential purchase prices in Irvine, and serves as a potential barrier to program participation. In accordance with the procedures delineated at 24 CFR 92.254(a)(2)(iii), an analysis will be performed to establish a local 95 percent of the median area purchase price limit for existing single-family residences and existing condominiums. That analysis will be included in Appendix C of the Action Plan that is submitted to HUD for review and approval by the Los Angeles Field Office.

## Program Specific Requirements

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### AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

#### Introduction

In the implementation of programs and activities under the 2022-2023 Action Plan, the City of Irvine will follow all HUD regulations concerning the use of program income, forms of investment, overall low- and moderate-income benefit for the CDBG program and recapture requirements for the HOME program. The City will use the written standards for providing ESG assistance developed by the County of Orange and ESG Orange County Collaborative members including the Cities of Anaheim, Garden Grove, and Santa Ana.

#### Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(l) (1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next Program Year and that has not yet been reprogrammed	0
2. The amount of proceeds from Section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's Strategic Plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%



**HOME Investment Partnership Program (HOME)**  
**Reference 24 CFR 91.220(l) (2)**

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

The City will not use any other forms of investment beyond those identified in Section 92.205.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

During the 2022-2023 Program Year, the City of Irvine will not implement any HOME-assisted homebuyer activities. The 2020-2024 Strategic Plan does not include homebuyer activities.

However, if the City of Irvine were to add a homebuyer goal in a future Consolidated Plan, such HOME assistance for homeownership would incorporate a recapture provision in accordance with § 92.254 (a)(5)(ii). As a condition of funding, the homebuyer would be required to enter into a HOME Participation Agreement with the City of Irvine. The City's investment in the property would be made as a loan in exchange for a 15-year affordability period as required under the HOME Program Regulations. The affordability period would be enforced by a recorded affordability covenant against the property. Recapture of funds would be required in the event of any of the following during the period of affordability:

1. Sale of the property or transfer of any kind to non-income qualified homebuyers (excluding those related to the dissolution of marriage);
2. When the residence ceases to be used as the primary residence, subleasing or renting of the property;
3. Refinancing; and
4. Failure to maintain the property in decent, safe, and sanitary condition.

The funds subject to recapture would include all funds advanced in connection with the City's homebuyer assistance. HOME funds would be recaptured from net proceeds, which HUD defines as the sales price minus superior loan repayment (other than HOME assistance) and closing costs. The City would recapture the entire amount of HOME funds invested from net proceeds first, before the borrower receives a return. However, in the event that the net proceeds resulting from a sale were not sufficient to provide for the full return of the Borrower's original down payment and Borrower's cost of capital improvements to the Property (subject to City verification and approval), then the Borrower shall be entitled to recover their original down payment amount and City approved capital improvement amounts before the recapture of the HOME Loan. The recaptured funds would be used to carry out HOME eligible activities.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds See 24 CFR 92.254(a)(4) are as follows:

See above.



4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

The City does not intend to use HOME funds to refinance any existing debt secured by multifamily housing that is rehabilitated with HOME funds.

**Emergency Solutions Grant (ESG)**  
**Reference 91.220(I) (4)**

1. Include written standards for providing ESG assistance (may include as attachment).

City of Irvine ESG funds will be used for homelessness prevention during the 2022-2023 Program Year. Funds from prior years continue to be available for rapid re-housing activities. The City and its subrecipients will follow the ESG Written Standards adopted by the CoC Board, Commission to End Homelessness Board, and other Orange County ESG recipients including the Cities of Anaheim, Garden Grove and Santa Ana. The Written Standards for Providing ESG Assistance are included in Appendix C.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

Provisions in the CoC Program interim rule at 24 CFR 578.7(a)(8) require that CoCs establish a Centralized or Coordinated Assessment System (CES). HUD uses the terms “coordinated entry” and “coordinated entry process” instead of centralized or coordinated assessment system to help avoid the implication that CoCs must centralize the assessment process, and to emphasize that the process is easy for people to access, that it identifies and assesses their needs, and makes prioritization decisions based upon needs. HUD’s primary goals for coordinated entry processes are that assistance is allocated as effectively as possible and that assistance is easily accessible without regard to how or where residents gain access. Most communities lack the resources needed to address all the needs of people experiencing homelessness.

211OC facilitates the Orange County CES in partnership with Housing and Community Development/Homeless Prevention and the Continuum of Care. CES creates a single point of entry, follows a standardized entry protocol and prioritization for placement policy (Prioritization for Permanent Supportive Housing Opportunities Policy, #CE-CA602-15-001 approved by the Commission to End Homelessness, July 31, 2015) and utilizes a single, standardized assessment tool to screen applicants for available programs throughout Orange County. Individuals and families who need help may dial 2-1-1 or fill out a client form available online at: <https://www.families-forward.org/services/>.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The City will solicit applications for ESG funds from qualified nonprofit subrecipients with experience implementing street outreach, rapid re-housing, and homelessness prevention programs. Subrecipient(s) will be required to participate in the Orange County Homeless Provider Network and HMIS in compliance with CoC standards.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless

individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The City of Irvine consulted with homeless or formerly homeless individuals, as well as homeless service providers, in the development of policies and funding strategies for the investment of ESG funds in facilities, services, or other assistance programs eligible pursuant to HUD regulations. A focus group consisting of one formerly homeless resident and homeless service providers typically is conducted but did not year due to COVID-19 global pandemic, but the Community Development Department will convene to determine the level of need on these topics. The focus group participants agreed that homelessness prevention services was the highest priority need for the City of Irvine's ESG allocation.

#### 5. Describe performance standards for evaluating ESG.

The City's performance standards for the homelessness prevention component of ESG funds to be used during the 2022-2023 Program Year include:

- Verifying that each individual and/or household to receive assistance is at-risk of homelessness;
- Providing rental assistance to prevent homelessness for Irvine residents; and
- Taking steps to ensure that those individuals and/or households receiving ESG homelessness prevention assistance have the best opportunity to remain stably housed for a period of at least 12 months subsequent to receiving assistance.

Subrecipients will be required to document both the performance measure (unduplicated people) and the outcome (number of people who remain stably housed 12 months subsequent to assistance). These performance standards will be incorporated into the City's Subrecipient Agreement, and to the extent possible, will be tracked and measured in HMIS.

The City's performance standards for the rapid re-housing component of ESG funds to be used during the 2022-2023 Program Year include:

- Verifying that each individual and/or household to receive assistance is homeless;
- Providing security deposit assistance and rental assistance to ensure that formerly homeless residents are housed affordably; and
- Taking steps to ensure that those individuals and/or households receiving ESG rapid re-housing assistance have the best opportunity to remain stably housed for a period of at least 12 months subsequent to receiving assistance.

Using the HUD Community Planning and Development Monitoring Handbook, the Housing Division will monitor the performance of each program to ensure that each subrecipient is on track and that ESG funds are used for eligible activities and eligible expenses that are appropriately documented. On a quarterly basis, reimbursement requests will be reviewed to confirm program compliance. On-site monitoring will be performed by the City's ESG consultant as needed, but not less frequently than once every two years.



# Appendix A

## Summary of Public Comments

### **January 19, 2022 Public Hearing**

No public comments were received.

### **March 24 – April 26, 2022 Draft Action Plan Public Review Period**

Written Comments from Public Review Period to be placed here.

### **April 26, 2022 Public Hearing**

Written and Public Comments from City Council Public Hearing to be placed here.

# Irvine World News

1771 S. Lewis Street  
Anaheim, CA 92805  
714-796-2209

5191001

IRVINE, CITY OF- LEGALS  
1 CIVIC CENTER PLZ  
ATTN: ACCOUNTS PAYABLE  
IRVINE, CA 92606-5208

## PROOF OF PUBLICATION

Legal No. **0011492027**

### AFFIDAVIT OF PUBLICATION

STATE OF CALIFORNIA, }  
County of Orange } **SS.**

I am a citizen of the United States and a resident of the County aforesaid; I am over the age of eighteen years, and not a party to or interested in the above entitled matter. I am the principal clerk of the Irvine World News, a newspaper that has been adjudged to be a newspaper of general circulation by the Superior Court of the County of Orange, State of California, on August 23, 1990, Case No. A-154653 in and for the City of Irvine, County of Orange, State of California; that the notice, of which the annexed is a true printed copy, has been published in each regular and entire issue of said newspaper and not in any supplement thereof on the following dates, to wit:

**10/07/2021, 10/21/2021**

I certify (or declare) under the penalty of perjury under the laws of the State of California that the foregoing is true and correct:

Executed at Anaheim, Orange County, California, on  
Date: October 21, 2021.



Signature



## NOTICE OF FUNDING AVAILABILITY

### Community Development Block Grant, Emergency Solutions Grant, and HOME Investment Partnerships Programs

#### INFORMATION AVAILABLE:

The City of Irvine anticipates receiving Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), and HOME Investment Partnerships (HOME) program funds from the United States Department of Housing and Urban Development (HUD) for qualifying projects and programs during the 2022-23 Fiscal Year. An application Technical Workshop will be convened via Zoom on November 3, 2021 at 2 p.m. To register for this event, please visit <https://bit.ly/2XW18fA>.

Registered workshop attendees will be provided a link to download an application packet. Interested applicants are strongly encouraged to attend. For those not able to participate in the Technical Workshop, application packets will be available beginning November 3, 2021 on the City's website at [www.CityofIrvine.org/CDBG](http://www.CityofIrvine.org/CDBG). All applications must be submitted by electronic mail to [CDBG@CityofIrvine.org](mailto:CDBG@CityofIrvine.org) by 5:00 p.m. on Friday, December 8, 2021. No late applications will be accepted.

**ADA COMPLIANCE:** It is the intention of the City of Irvine to comply with the Americans with Disabilities Act in all respects. If, as a participant and observer at this meeting, you will need special assistance beyond what is normally provided, the City of Irvine will attempt to accommodate you in every reasonable manner. Please contact the City Clerk's office at 949-724-6205. Notification 48 hours prior to the meeting will enable the City to make reasonable arrangements to ensure accessibility to this meeting (28 CFR 35.102-35.104 ADA Title II).

#### LANGUAGE ACCESS:

Language Access Pursuant to Executive Order 13166

한국어로 된 서류 안내 및 번역에 대해 더 많은 정보가 필요한 경우, 949-724-7444 의 Sarah Escobedo (으)로 문의해 주시기 바랍니다.

Nếu bạn cần biết thêm bất kỳ thông tin nào liên quan đến thông báo này hoặc tài liệu dịch thuật tiếng Việt, vui lòng liên hệ Sarah Escobedo tại 949-724-7444.  
如果您需要进一步了解关于此通知或这些文件的中文译本方面的信息，请联系 Sarah Escobedo 的 949-724-7444.

Si necesita más información sobre este aviso o la traducción de documentos en español, comuníquese con Sarah Escobedo al 949-724-7444.

Sarah با لطفا فارسی، به اسناد ترجمه یا و اعلامیه این به رابطه در بیشتر اطلاعات به نیاز صورت ر شوید تماس به 949-724-7444 ارتباطی راه طریق از.

この通知または日本語での文書の翻訳に関する詳細情報が必要な場合は、949-724-7444 に Sarah Escobedo に連絡してください。

إذا كنت ترغب في الحصول على أي معلومات إضافية تتعلق بهذا الإشعار أو بترجمة المستندات باللغة العربية، Sarah Escobedo على 949-724-7444 فيرجى الاتصال بـ

**CITY CONTACT:** For more information, please contact Stephanie Takigawa, Housing Analyst, at 949-724-7456 or [STakigawa@CityofIrvine.org](mailto:STakigawa@CityofIrvine.org).

**NOTICE DATE:** October 7, 2021

**PUBLISHED:** October 7, 2021 and October 21, 2021 (Irvine World News)

**POST UNTIL:** December 8, 2021

11492027



## PUBLIC HEARING COMMUNITY SERVICES COMMISSION

January 19, 2022 at 5:30 p.m.

Irvine City Hall in the Council Chamber

### DESCRIPTION:

The Community Services Commission will hold a public hearing to receive comments from the public concerning housing and community development needs, development of proposed activities, proposed strategies and actions for affirmatively furthering fair housing, and a review of past program performance in preparation for the upcoming annual allocation process for the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), and Emergency Solutions Grant (ESG) programs for the 2022-23 Program Year. Each year the City of Irvine receives an annual allocation of CDBG, HOME, and ESG grant funding from the U.S. Department of Housing and Urban Development (HUD).

Funding received through these programs is allocated to various activities that serve the needs of low- and moderate-income persons in the City of Irvine. Pursuant to City Council direction, the Community Services Commission serves in an advisory capacity to the City Council in the allocation of CDBG, HOME, and ESG funds. As such, the Community Services Commission reviews all applications for funding and makes recommendations for public service programs and capital projects.

### INFORMATION:

Copies of the staff report and other project information will be available for review by 5 p.m. on Thursday, January 6, 2022 online at [cityofirvine.org/communityservicescommission](http://cityofirvine.org/communityservicescommission).

### INVOLVEMENT:

Do you have any comments? Questions? Concerns? You are invited to attend a public hearing to be held by the City of Irvine Community Services Commission on **Wednesday January 19, at 5:30 p.m.** Specific details about how to participate, which may include either tele-conferencing, video-conferencing, or other equivalent options, will be posted on the Community Services Commission website at least 24 hours in advance at [cityofirvine.org/communityservicescommission](http://cityofirvine.org/communityservicescommission).

For any public hearing or public meeting that is scheduled after September 30, 2021, a person's ability to participate and provide verbal comments via teleconference will be contingent on the Governor of California extending teleconferencing accommodations by new executive order or contingent on the California Legislature enacting a statute authorizing the same. Members of the public are encouraged to be informed as to teleconferencing accommodations, if any, available after September 30, 2021. If teleconferencing accommodations are not extended, any person may participate in a public hearing or public meeting, and provide verbal comments therefor, by attending the meeting in person at Irvine City Hall.

### NOTE:

If, in the future, you wish to challenge this project in court, you may be limited to raising only those issues that you or someone else raised orally at the public hearing or in written correspondence received by the City at or before, the public hearing.

### CONTACT:

For more information, contact Lisa Varon, Housing Manager, by email at [lvaron@cityofirvine.org](mailto:lvaron@cityofirvine.org) or Stephanie Takigawa, Housing Analyst, by email at [stakigawa@cityofirvine.org](mailto:stakigawa@cityofirvine.org).

### AMERICANS WITH DISABILITIES ACT:

It is the intention of the City of Irvine to comply with the Americans with Disabilities Act in all respects. If, as a participant at this hearing, you will need special assistance beyond what is normally provided, the City of Irvine will attempt to accommodate you in every reasonable manner. Please contact Renee HighEagle at 949-724-6682 for assistance. Notification 48 hours prior to the hearing will enable the City to make reasonable arrangements to ensure accessibility to the hearing. (28 CFR 35.102-35.104 ADA Title II)

Language Access Pursuant to Executive Order 13166

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Nếu bạn cần biết thêm bất kỳ thông tin nào liên quan đến thông báo này hoặc tài liệu dịch thuật tiếng Việt, vui lòng liên hệ Sarah Escobedo tại 949-724-7444.

如果您需要进一步了解关于此通知或这些文件的中文译本方面的信息，请联系 Sarah Escobedo 的 949-724-7444.

Si necesita más información sobre este aviso o la traducción de documentos en español, comuníquese con Sarah Escobedo al 949-724-7444.

Sarah Escobedo ر صورت نیاز به اطلاعات بیشتر در رابطه به این اعلامیه و یا ترجمه اسناد به فارسی، لطفاً با به تماس شوید 949-724-7444 از طریق راه ارتباطی Sarah Escobedo .

この通知または日本語での文書の翻訳に関する詳細情報が必要な場合は、949-724-7444 に Sarah Escobedo に連絡してください。

إذا كنت ترغب في الحصول على أي معلومات إضافية تتعلق بهذا الإشعار أو بترجمة المستندات باللغة العربية، Sarah Escobedo على 949-724-7444 فيرجى الاتصال بـ

NOTICE DATE:

Published on December 30, 2021 (Irvine World News) 11507451





## NOTICE OF PUBLIC HEARING

### City Council Meeting

### April 26, 2022

#### DESCRIPTION:

Pursuant to the United States Department of Housing and Urban Development (HUD) regulations, the City of Irvine (City) has prepared the draft Action Plan for the 2022-2023 Program Year. The Action Plan is the City's application to HUD for Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), and HOME Investment Partnerships (HOME) funds. The draft Action Plan delineates the projects and programs to be undertaken during the 2022-23 Program Year using CDBG, ESG, and HOME funds to address the priority needs and goals noted in the City's 2020-2024 Consolidated Plan.

#### INFORMATION:

Copies of the staff report and other project information will be available for review by Thursday, April 14, 2022, with the City Clerk, One Civic Center Plaza, Irvine (City Hall) or online at [www.cityofirvine.org](http://www.cityofirvine.org).

#### INVOLVEMENT:

The City of Irvine encourages citizen participation in the CDBG, ESG, and HOME program grant management process. If you are unable to attend the public hearing, written comments can be forwarded to the Community Development Department – Housing Division at the address below. Additionally, a copy of the draft 2022-2023 Action Plan will be available for public review and comment from March 24, 2022 to April 26, 2022 online at [www.cityofirvine.org/cdbg](http://www.cityofirvine.org/cdbg).

Do you have any comments? Questions? Concerns? You are invited to attend a public hearing to be held by the City of Irvine on **Tuesday, April 26, 2022, at 4 p.m. or soon thereafter** in the Irvine City Council Chamber at City Hall, One Civic Center Plaza, Irvine, CA 92606 to provide public comment. Specific details about how to participate, which may include either tele-conferencing, video-conferencing, or another equivalent option, will be included with the posted City Council Agenda. The Agenda will be posted online at least 72 hours in advance of the hearing at [www.cityofirvine.org](http://www.cityofirvine.org).

#### CONTACT:

The City Council is the final decision-making body for these items. If you challenge the City Council's action on this item in court, you may be limited to raising only those issues which you or someone else raised at this public hearing or in written correspondence received by the City at, or prior to, the public hearing.

For more information, contact Lisa Varon, Housing Manager, at [lvaron@cityofirvine.org](mailto:lvaron@cityofirvine.org) or Stephanie Takigawa, Housing Analyst, at [stakigawa@cityofirvine.org](mailto:stakigawa@cityofirvine.org).

#### AMERICANS WITH DISABILITIES ACT:

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Language Access Pursuant to Executive Order 13166

한국어로 된 서류 안내 및 번역에 대해 더 많은 정보가 필요한 경우, 949-724-7444 의 Sarah Escobedo (으)로 문의해 주시기 바랍니다.

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**PUBLISHED:**

**March 24, 2022 (Irvine World News)**



# Appendix B

## Application for Federal Assistance SF-424

\* 1. Type of Submission:

- ☐ Preapplication  
☒ Application  
☐ Changed/Corrected Application

\* 2. Type of Application:

- ☒ New  
☐ Continuation  
☐ Revision

\* If Revision, select appropriate letter(s):

\* Other (Specify):

\* 3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

CA61750

5b. Federal Award Identifier:

B-22-MC-06-0557

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

\* a. Legal Name:

City of Irvine

\* b. Employer/Taxpayer Identification Number (EIN/TIN):

95-2759391

\* c. Organizational DUNS:

0725113630000

d. Address:

\* Street1:

1 Civic Center Plaza

Street2:

\* City:

Irvine

County/Parish:

\* State:

CA: California

Province:

\* Country:

USA: UNITED STATES

\* Zip / Postal Code:

92606-5207

e. Organizational Unit:

Department Name:

Community Development

Division Name:

Neighborhood Services

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Ms.

\* First Name:

Stephanie

Middle Name:

\* Last Name:

Takigawa

Suffix:

Title:

Housing Analyst

Organizational Affiliation:

\* Telephone Number:

(949) 724-7456

Fax Number:

\* Email:

stakigawa@cityofirvine.org

## Application for Federal Assistance SF-424

### \* 9. Type of Applicant 1: Select Applicant Type:

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

### \* 10. Name of Federal Agency:

U.S. Department of Housing and Urban Development

### 11. Catalog of Federal Domestic Assistance Number:

14.218

CFDA Title:

Community Development Block Grants / Entitlement Grants

### \* 12. Funding Opportunity Number:

N/A

\* Title:

N/A

### 13. Competition Identification Number:

N/A

Title:

N/A

### 14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

### \* 15. Descriptive Title of Applicant's Project:

2022-2023 Action Plan projects using Community Development Block Grant Entitlement funds pursuant to Title I of the Housing and Community Development Act of 1974, as amended.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

**Application for Federal Assistance SF-424****16. Congressional Districts Of:**

\* a. Applicant

45

\* b. Program/Project

45

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

**17. Proposed Project:**

\* a. Start Date:

07/01/2022

\* b. End Date:

06/30/2023

**18. Estimated Funding (\$):**

\* a. Federal

2,311,953.00

\* b. Applicant

\* c. State

\* d. Local

\* e. Other

\* f. Program Income

\* g. TOTAL

2,311,953.00

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**☐ a. This application was made available to the State under the Executive Order 12372 Process for review on☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.☒ c. Program is not covered by E.O. 12372.**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ \*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:

Mr.

\* First Name:

Oliver

Middle Name:

\* Last Name:

Chi

Suffix:

\* Title:

City Manager

\* Telephone Number:

(949) 724-6246

Fax Number:

\* Email:

cm@cityofirvine.org

\* Signature of Authorized Representative:

\* Date Signed:

## ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
<div></div>	<div>City Manager</div>
APPLICANT ORGANIZATION	DATE SUBMITTED
<div>City of Irvine</div>	<div></div>



## Application for Federal Assistance SF-424

\* 1. Type of Submission:

- ☐ Preapplication  
☒ Application  
☐ Changed/Corrected Application

\* 2. Type of Application:

- ☒ New  
☐ Continuation  
☐ Revision

\* If Revision, select appropriate letter(s):

\* Other (Specify):

\* 3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

CA61750

5b. Federal Award Identifier:

M-22-MC-06-0561

State Use Only:

6. Date Received by State:

7. State Application Identifier:

### 8. APPLICANT INFORMATION:

\* a. Legal Name:

City of Irvine

\* b. Employer/Taxpayer Identification Number (EIN/TIN):

95-2759391

\* c. Organizational DUNS:

0725113630000

d. Address:

\* Street1:

1 Civic Center Plaza

Street2:

\* City:

Irvine

County/Parish:

\* State:

CA: California

Province:

\* Country:

USA: UNITED STATES

\* Zip / Postal Code:

92606-5207

e. Organizational Unit:

Department Name:

Community Development

Division Name:

Neighborhood Services

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Ms.

\* First Name:

Stephanie

Middle Name:

\* Last Name:

Takigawa

Suffix:

Title:

Housing Analyst

Organizational Affiliation:

\* Telephone Number:

(949) 724-7456

Fax Number:

\* Email:

stakigawa@cityofirvine.org

## Application for Federal Assistance SF-424

### \* 9. Type of Applicant 1: Select Applicant Type:

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

### \* 10. Name of Federal Agency:

U.S. Department of Housing and Urban Development

### 11. Catalog of Federal Domestic Assistance Number:

14.239

CFDA Title:

HOME Investment Partnerships Program / Entitlement Grants

### \* 12. Funding Opportunity Number:

N/A

\* Title:

N/A

### 13. Competition Identification Number:

N/A

Title:

N/A

### 14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

### \* 15. Descriptive Title of Applicant's Project:

2022-2023 Action Plan projects using HOME Investment Partnerships (HOME) funds pursuant to Title II of the National Affordable Housing Act of 1990, as amended.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

**Application for Federal Assistance SF-424****16. Congressional Districts Of:**

\* a. Applicant

45

\* b. Program/Project

45

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

**17. Proposed Project:**

\* a. Start Date:

07/01/2022

\* b. End Date:

06/30/2023

**18. Estimated Funding (\$):**

\* a. Federal

972,418.00

\* b. Applicant

\* c. State

\* d. Local

\* e. Other

\* f. Program Income

\* g. TOTAL

972,418.00

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**☐ a. This application was made available to the State under the Executive Order 12372 Process for review on☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.☒ c. Program is not covered by E.O. 12372.**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes☒ No

If "Yes", provide explanation and attach

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**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

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Prefix:

Mr.

\* First Name:

Oliver

Middle Name:

\* Last Name:

Chi

Suffix:

\* Title:

City Manager

\* Telephone Number:

(949) 724-6246

Fax Number:

\* Email:

cm@cityofirvine.org

\* Signature of Authorized Representative:

\* Date Signed:

## ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 02/28/2022

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2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
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18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
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<b>SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL</b> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>	<b>TITLE</b> <div style="border: 1px solid black; padding: 2px;">City Manager</div>
<b>APPLICANT ORGANIZATION</b> <div style="border: 1px solid black; padding: 2px;">City of Irvine</div>	<b>DATE SUBMITTED</b> <div style="border: 1px solid black; height: 20px; width: 100%;"></div>

## Application for Federal Assistance SF-424

\* 1. Type of Submission:

- ☐ Preapplication  
☒ Application  
☐ Changed/Corrected Application

\* 2. Type of Application:

- ☒ New  
☐ Continuation  
☐ Revision

\* If Revision, select appropriate letter(s):

\* Other (Specify):

\* 3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

CA61750

5b. Federal Award Identifier:

E-22-MC-06-0557

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

\* a. Legal Name:

City of Irvine

\* b. Employer/Taxpayer Identification Number (EIN/TIN):

95-2759391

\* c. Organizational DUNS:

0725113630000

d. Address:

\* Street1:

1 Civic Center Plaza

Street2:

\* City:

Irvine

County/Parish:

\* State:

CA: California

Province:

\* Country:

USA: UNITED STATES

\* Zip / Postal Code:

92606-5207

e. Organizational Unit:

Department Name:

Community Development

Division Name:

Neighborhood Services

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Ms.

\* First Name:

Stephanie

Middle Name:

\* Last Name:

Takigawa

Suffix:

Title:

Housing Analyst

Organizational Affiliation:

\* Telephone Number:

(949) 724-7456

Fax Number:

\* Email:

stakigawa@cityofirvine.org

## Application for Federal Assistance SF-424

### \* 9. Type of Applicant 1: Select Applicant Type:

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

### \* 10. Name of Federal Agency:

U.S. Department of Housing and Urban Development

### 11. Catalog of Federal Domestic Assistance Number:

14.231

CFDA Title:

Emergency Solutions Grants / Entitlement Grants

### \* 12. Funding Opportunity Number:

N/A

\* Title:

N/A

### 13. Competition Identification Number:

N/A

Title:

N/A

### 14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

### \* 15. Descriptive Title of Applicant's Project:

2022-2023 Action Plan projects using Emergency Solutions Grant Entitlement funds pursuant to Subtitle B of Title IV of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11371-11378) as amended.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

**Application for Federal Assistance SF-424****16. Congressional Districts Of:**

\* a. Applicant

45

\* b. Program/Project

45

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

**17. Proposed Project:**

\* a. Start Date:

07/01/2022

\* b. End Date:

06/30/2023

**18. Estimated Funding (\$):**

\* a. Federal

168,307.00

\* b. Applicant

\* c. State

\* d. Local

\* e. Other

\* f. Program Income

\* g. TOTAL

168,307.00

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**☐ a. This application was made available to the State under the Executive Order 12372 Process for review on☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.☒ c. Program is not covered by E.O. 12372.**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes☒ No

If "Yes", provide explanation and attach

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**Authorized Representative:**

Prefix:

Mr.

\* First Name:

Oliver

Middle Name:

\* Last Name:

Chi

Suffix:

\* Title:

City Manager

\* Telephone Number:

(949) 724-6246

Fax Number:

\* Email:

cm@cityofirvine.org

\* Signature of Authorized Representative:

\* Date Signed:



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OMB Number: 4040-0009  
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

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1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
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8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

<b>SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL</b> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>	<b>TITLE</b> <div style="border: 1px solid black; padding: 2px;">City Manager</div>
<b>APPLICANT ORGANIZATION</b> <div style="border: 1px solid black; padding: 2px;">City of Irvine</div>	<b>DATE SUBMITTED</b> <div style="border: 1px solid black; height: 20px; width: 100%;"></div>

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

**Anti-Lobbying** --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
City Manager

\_\_\_\_\_  
Title

## Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

**Following a Plan** -- It is following a current consolidated plan that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2021, 2022, 2023 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

**Compliance with Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

**Compliance with Laws** -- It will comply with applicable laws.

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Date

City Manager

\_\_\_\_\_  
Title

## **OPTIONAL Community Development Block Grant Certification**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Date

City Manager

\_\_\_\_\_  
Title

## Specific HOME Certifications

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

**Eligible Activities and Costs** -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

**Subsidy layering** -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

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Signature of Authorized Official

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Date

City Manager

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Title

## Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

**Major rehabilitation/conversion/renovation** – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

**Matching Funds** – The recipient will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.



**Discharge Policy** – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

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Signature of Authorized Official

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Date

City Manager

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Title

## **APPENDIX TO CERTIFICATIONS**

### **INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:**

#### **Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

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2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
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Title

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Signature of Authorized Official

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Date

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Title

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Signature of Authorized Official

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Date

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Title

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Title

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**Matching Funds** – The recipient will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.



**Discharge Policy** – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

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Signature of Authorized Official

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Date

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Title

## **APPENDIX TO CERTIFICATIONS**

### **INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:**

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# Appendix C

## Written Standards for Providing ESG Assistance

***Note: The City of Irvine requires use of the written Standards for Providing ESG Assistance developed by the County of Orange and the ESG Orange County Collaborative included on the following pages.***

## **Emergency Solutions Grant (ESG) Written Standards**

### **Reference 24 CFR 576.400 and 91.220**

The County of Orange, through the Orange County Community Services (OCCS), is responsible for coordinating and implementing the ESG Orange County Collaborative. The Emergency Solution Grant (ESG) regulations, the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act, and the Continuum of Care (CoC) Program Interim Rules state that the CoC, in consultation with recipients of ESG program funds within the geographic area, must:

- 1) Establish and consistently follow written standards for providing CoC assistance;
- 2) Establish performance targets appropriate for population and program type; and
- 3) Monitor recipient and sub-recipient performance.

Pursuant to the Code of Federal Regulations 24 CFR part 578, OCCS in coordination with the ESG jurisdictions and the CoC has developed the following written standards. These standards will apply to all projects and activities that receive County ESG funding. These are intended as basic minimum standards to which subrecipients can make additions and/or enforce more stringent standards applicable to their own projects. In addition, all projects must comply with the funding process under which the project was originally awarded and the HEARTH Act. All programs that receive ESG funding are required to abide by these written standards.

### **Overview of HEARTH Act**

- Approved on May 20, 2009 and amended the McKinney Vento Homeless Assistance Act.
- Amendments allow for increased flexibility in who may be served and what activities may be carried out.
- Consolidates three separate homeless assistance programs administered by the United States Department of Housing and Urban Development (HUD) under the McKinney Vento Homeless Assistance Act into a single grant program and creates the ESG Program and the Rural Housing Stability Assistance Program (RHSP).
- Change of focus from homeless shelter to homelessness prevention.

## **ESG and CoC Coordination & Collaboration**

In Collaboration with other ESG service providers, these written standards have been developed by ESG grantees within Orange County, including OCCS, the City of Anaheim, City of Garden Grove, City of Santa Ana and CoC. This collaboration allows for input on the standards and implementation process developed by organizations that directly provide homeless and housing services, Rapid Re-housing (R/R), and Homelessness Prevention (HP). The ESG Written Standards have been adopted/approved by the CoC Board, Commission to End Homelessness Board, and City ESG recipients. These written standards will be reviewed and revised at least annually, or as needed, to continue to build upon and refine this document.

## **Housing First Model**

HUD encourages all ESG grantees and subrecipients, as well as the CoC, to implement a “housing first” approach when providing assistance. The housing first approach prioritizes rapid placement and stabilization in permanent housing; it does not have service participation requirements or preconditions (such as sobriety or a minimum income threshold).

Transitional housing and supportive services only projects may also be considered to utilize the housing first approach, if they operate with low-barriers, work to quickly move people into permanent housing, do not require participation in supportive services, and not require any preconditions for moving into transitional housing.

## **Universal Assessment**

All individuals will be first be assessed using a Pre-Screening Tool, The Pre-Screening Tool serves as a triage tool to determine if the individual or family may be diverted, need prevention assistance, or need be to further assessed to determine what is the best housing intervention for them, if the individual is determined to need further assessed a comprehensive, universal assessment tool called the Vulnerability Index Service Prioritization Decision Assistance Tool (VI-SPDAT) version 2 for Individuals and Families is utilized. This tool guarantees that an individual or family’s level of need and eligibility determination are made in an informed, reasonable, and objective manner.

## **Homeless Management Information System**

All subrecipients are required to participate in the Homeless Management information System (HMIS) per the ESG and CoC Interim Rule (24 CFR 576 and 578). HMIS provides an opportunity to document homelessness and helps to ensure coordination between service providers while avoiding duplication of services and client data.

### **Reporting – Coordinated Entry System**

- The HEARTH Act makes HMIS participation a statutory requirement for ESG recipients and sub-recipients. The subrecipients work with the Continuum of Care to ensure the screening, assessment, and referral of program participants are consistent with the written standards.
- The subrecipient will ensure that data on all persons served and all activities assisted under ESG are entered into a community-wide HMIS in the area in which those persons and activities are located.
- Victim service providers cannot, and Legal Services Organizations may choose to not participate in HMIS. Providers that do not participate in HMIS must use a comparable database that produces unduplicated, aggregate reports instead.
- Eligible Cost include, but not limited to the following:
  - Hardware, Equipment and Software Costs
  - Staffing: Paying salaries for operating HMIS
  - Training and Overhead – Technical support, leasing space, and utilities for space used by HMIS staff

### **Comparable Database for Victim Services**

If the sub-recipient is a victim services or a legal services provider that use a comparable database, it may use ESG funds to establish and operate a comparable database that collects client level data over time and generates unduplicated aggregate reports based on the data. Information entered into a comparable database must be provided to the HMIS Lead, upon request.

## **Data Sharing Requirement**

Data sharing is a multi-directional sharing relationship between multiple organizations. In order to systematically share data, the participating agencies must jointly establish a data sharing network formalized by the execution of guidelines, with the understanding they agree to future updates to the guidelines made by the HMIS Lead.

## **ESG Agreements**

The ESG recipient enters into an agreement with ESG subrecipients. In general, these subrecipient agreements define:

- Key program components or activities (Including benchmarks for success);
- Level of ESG funding;
- Anticipated source and amount of matching funds (24 CFR 576.201) contributed by the subrecipient;
- Applicable laws and regulations; and
- Documentation or reporting requirements.

## **Expenditure Limits**

Funds used for street outreach and emergency shelter activities will be limited to the greater of 60 percent of the jurisdiction's total current fiscal year (FY) grant for ESG; or the amount of FY 2010 ESG grant funds that were committed to street outreach and emergency shelter.

## **Matching Funds Requirements**

- The subrecipient must make matching contributions to supplement the recipient's ESG program in an amount that equals the amount of ESG funds provided by HUD.
- Matching contributions may be obtained from any eligible source, including any federal source other than the ESG program, as well as state, local, and private sources. Additional requirements apply to matching contributions from a Federal source of funds.
- Matching contributions must be provided after the date that HUD signs the grant agreement.



## **Uniform Administrative Requirements**

The use of ESG funding is subject to the applicable requirements of 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The purpose of 2 CFR Part 200 is to streamline the Federal Government's guidance on administrative requirements to more effectively focus Federal resources on improving performance and outcomes, while ensuring the financial integrity of the Federal programs in partnership with non-federal stakeholders (e.g., grantees and sub-recipients), 2 CFR Part 200 supersedes, consolidates, and streamlines requirements from eight (8) OMB Circulars: A-21, A-87, A-89, A-102, A-110, A-122, A-133, and A-50. Subrecipients are required to maintain an acceptable general accounting system. A Subrecipient's general accounting system must include:

- Independent Single Audit (OMB 2 CFR Part 200.514) All ESG sub-recipients that expend more than \$750,000 of Federal funds (include all Federal sources) in a single year must have a single audit conducted. Copies of the Single Audit must be sent to the County as well as HUD.
- All records must be maintained for a minimum of four (4) years.

## **Financial Management**

Grantees and subrecipients in the ESG program must ensure compliance with regulations and requirements pertaining to the following key areas of financial management outlined in Financial Management Systems (24CFR 85.20 and 24CFR 84.20-28) for the following areas:

- Usage of funds
- Internal controls
- Cash management
- Procurement Property
- Audits
- Required funding match
- Budget controls
- Accounting controls
- Asset controls

## **Documentation of Homelessness**

ESG sub-recipients are required to maintain adequate documentation of homelessness status to determine eligibility of persons served by the ESG program.

- A copy of this documentation must be maintained by the subrecipient in the client's or participant's file. Documentation includes 3-day notice to pay or quit, public agency written verification of homelessness, or self-certification of homelessness are examples of required proof to require and maintain in client file.

## **Qualifications of Homelessness**

A person is considered homeless only when he/she resides in one of the following places:

- In places not meant for human habitation such as a car, park, sidewalk, an abandon building, or on the street;
- In an emergency shelter;
- In transitional or supportive housing for homeless persons who originally came from the streets or emergency shelter; or
- In any of the above but is spending a short time (up to 30 consecutive days) in a hospital or other institution.

## **Monitoring and Site Visits**

Monitoring can take a number of forms and can include review of progress reports, telephone consultation, and performance on-site assessments. The three basic goals for oversight and monitoring of the progress and performance of ESG grantees/recipients include:

- Ensure that ESG funds are used effectively to assist homeless individuals and families and that the basic ESG program goals are met;
- Ensure compliance with ESG regulations and program requirements in the usage of funds and in carrying out program activities; and
- Ensure and develop the management capacity of grantees or recipients.

## **Participation of Homeless Persons in Policymaking and Operations**

Pursuant to 24 CFR 576.405, recipients of ESG funds must provide for the participation of not less than one homeless or formerly homeless persons in a policy-making function within the subrecipient's organization. If the recipient is unable to meet this requirement, they must instead develop and implement a plan to consult with homeless or formerly homeless persons in a policy-making function regarding any facilities, services, or other assistance that received funding under ESG. All subrecipients of ESG funds are required to involve or encourage involvement of participants in the operation of an ESG funded program or facility.

## **Termination of Assistance 24 CFR 576-402**

Assistance provided through ESG-funded activities to program participants that violate program requirements may be terminated. Written procedures must describe the specific program requirements and the termination, grievance, or appeal processes, this should include the procedures for a participant to request a hearing regarding the termination of their assistance. The federal regulation at 24 CFR 576.402 describes the termination provisions:

- a) If a program participant violates program requirements, the recipient or subrecipient may terminate the assistance in accordance with a formal process established by the recipient or subrecipient that recognizes the rights of individuals affected. The recipient or subrecipient must exercise judgment and examine all extenuating circumstances in determining when violations warrant termination so that a program participant's assistance is terminated only in the most severe cases.
- b) Program participants receiving rental assistance or housing relocation and stabilization services. To terminate rental assistance or housing relocation and stabilization services to a program participant, the required formal process, at a minimum, must consist of:
  - 1) Written notice to the program participant containing a clear statement of the reason(s) for termination;
  - 2) A review of the decision, in which the program participant is given the opportunity to present written or oral objections before a person other

- than the person (or a subordinate of that person) who made or approved the termination decision; and
- 3) Prompt written notice of the final decision to the program participant.

- c) Ability to provide further assistance. Termination under this section does not bar the recipient or subrecipient from providing further assistance at a later date to the same family or individual.

### Reimbursement Responsibilities

Subrecipients will be responsible to submit reimbursement request, on a monthly basis, for eligible and reasonable expenditures. The following may be included in the reimbursement request. Specific items may vary from jurisdiction to jurisdiction and will be detailed in contracts:

- Request cover page and summary page
- Cancelled checks, bank statements, electronic payment receipts, etc.
- Invoice, bill, contract, lease, etc. (late charges are not eligible)
- HMIS reporting

Final reimbursement request must be submitted no later than the date specified in the ESG subrecipient agreement.

### Five ESG Program Components

The table below compares the two types of eligible ESG clients and which of the five ESG funding components each client group may receive:

Component	Those who are Homeless	Those who are at risk of Homelessness
1. Street Outreach	X	
2. Emergency Shelter	X	
3. Homelessness Prevention		X
4. Rapid Re-housing	X	
5. Homeless management Information System (HMIS)	X	X

## Standards for Programs Components

### 1. Street Outreach

Eligible Participants: “Unsheltered” Individuals and families, meaning those who qualify under paragraph (1) (i) of the definition of “homeless.”

Eligible Activities: Essential services to eligible participants provided on the street or in parks, abandoned buildings, bus station, campgrounds, and in other such settings where unsheltered persons are staying. Staff salaries related to carrying out street outreach activities is also eligible.

Eligible Cost:

Standards for targeting and providing essential services related to Street Outreach include:

- Engagement  
Activities to locate, identify, and build relationships with unsheltered homeless people for the purpose of providing immediate support, intervention, and connections with homeless assistance programs and/or mainstream social services and housing programs.
- Case Management  
Assessing housing needs and arranging/coordinating/monitoring the delivery of individualized services.
- Emergency Health Services  
Outpatient treatment for urgent medical conditions provided by licensed medical professionals in community-based settings (e.g., streets, parks, and campgrounds) to eligible participants unwilling or unable to access emergency shelter or health care facility.
- Emergency Mental Health Services  
Outpatient treatment for urgent mental health conditions provided by licensed professionals in community-based settings (e.g., streets, parks, and campgrounds).
- Transportation  
Travel by outreach workers, social workers, medical professionals or other service providers during the provision of eligible street outreach services.
- Services to Special Populations  
Address the special needs of homeless youth, victims of domestic violence and related crimes/threats, and/or people living with HIV/AIDS who are literally homeless.

## 2. Emergency Shelter

Eligible Participants:

Eligible Participants are individuals and families who are homeless.

Eligible Activities:

ESG funds may be used to provide essential services to persons in emergency shelters, major renovation of an emergency shelter, or conversion of a building into an emergency shelter, and shelter operating costs, Staff costs related to carrying out emergency shelter activities is also eligible.

Eligible Costs:

Overview of eligible costs include:

### A. Essential Services

Eligible costs to provide essential services to individuals and families who are in an emergency shelter are as follows:

- Case Management
- Life Skills Training
- Child Care
- Education Services
- Employment Assistance and Job Training
- Outpatient Health Services
- Legal Services
- Mental Health Services
- Substance Abuse Treatment Services
- Transportation
- Services for Special Populations

**Note:** Emergency Shelter agencies must include in their policies and procedures the process of admission, diversion, referral and discharge including standards regarding length of stay and safeguards to meet the safety and shelter needs of special populations and individuals, and Families who have the highest barriers to housing and are likely to be homeless the longest.

### B. Renovation and Conversion

Eligible costs include labor, materials, tools, and other costs for renovations.

When ESG funds are used for renovations, other than major rehabilitation

or conversion, the minimum period of use to be maintained as a shelter for homeless individuals and families is three (3) years. If the rehabilitation costs of an emergency shelter exceeds 75 percent of the value of the building before rehabilitation (major rehabilitation) or if the costs to convert a building into an emergency shelter exceeds 75 percent of the value of the building after the conversion, then the minimum period of use is ten (10) years, including soft costs, or conversion of a building to be used as an emergency shelter. The maximum funding allowed is **\$5,000**.

#### C. Shelter Operations

Eligible costs are the costs of maintenance necessary for the operation of an emergency shelter, in the case when no appropriate emergency shelter is available for a homeless family or individual, a hotel or motel voucher will also be considered eligible cost. Additional eligible shelter operation costs include the following:

- Maintenance (including minor and/or routine repairs)
- Food
- Insurance
- Rent
- Furnishings
- Security
- Supplies necessary for the operation of the emergency shelter
- Fuel
- Utilities
- Equipment

### 3. Homelessness Prevention

Eligible Participants:

The purpose of Homelessness Prevention is to prevent persons from becoming homeless in a shelter or an unsheltered situation. Funding may also be used to help such persons regain stability in their current housing or other permanent housing. Eligibility for services applies to individuals and families who are at imminent risk, or at risk, of homelessness, meaning those who qualify under paragraph (2) and (3) of the homeless definition or those who qualify as at risk of homelessness. Individuals and families must have an income at, or below, 30% of median family income for the area (AMI).

Eligible Activities:

Eligible activities include the following:

- Housing Relocation and Stabilization Services
- Short- and Medium-Term Rental Assistance

#### **4. Rapid Rehousing**

Eligible Participants:

The purpose of Rapid Re-housing is to serve participants who meet the criteria under paragraph (1) of the “homeless” definition in 24 CFR Part 576.2 or who meet the criteria under paragraph (4) of the “homeless” definition and live in an emergency shelter or other place described in paragraph (1) of the “homeless” definition.

Subrecipients must maintain standards to help homeless persons living on the streets or in an emergency shelter transition as quickly as possible into permanent housing, and then, to help such persons achieve stability in that housing.

Eligible participants are individuals and families that are literally homeless currently living in an emergency shelter or place not meant for human habitation.

Eligible Activities:

Eligible activities include the following services:

- Housing Relocation and Stabilization Services
- Short and Medium-Term Rental Assistance



## Housing Relocation and Stabilization Services

The following guidelines apply to both Rapid-Rehousing and Homelessness prevention.

FINANCIAL ASSISTANCE	SUPPORTIVE SERVICES
Moving costs	Housing search & placement
Rent application fees	Housing stability Case Management
Last month's rent	Mediation
Utility payments-up to 24 months of payments per program participant (including up to 6 months arrears per service)	Credit repair
Security deposit-equal to no more than 2 month's rent	Legal Services
Standard utility deposits	

**Short-and Medium-Term Rental Assistance:** Rapid Re-housing/Homelessness Prevention

TYPES OF RENTAL ASSISTANCE	LENGTH OF ASSISTANCE
Short Term Rental Assistance	Up to 3 months
Medium Term Rental Assistance	4 to 24 months
Payment of Rental Arrears	One-time payment for up to 6 months of arrears including late fees

Lease Requirements 24 CFR 576.107 (5)

In compliance with HUD requirements, EDA clarifies that to be in permanent housing, the program participant must be the tenant on a lease for a term of at least one year that is renewable and can only be terminated for cause. The lease must be renewable for terms that are a minimum of one month long. HUD has determined that requiring a lease for a term of at least one year that is renewable and terminable only for cause can assist program participants in obtaining stability in housing, even when the rental assistance is temporary.

## **Performance Standards**

Based on standards and goals of the local Continuum of Care, the Orange County ESG Collaborative is proposing the following performance standards for the Emergency Solutions Grant:

### **Performance Measures for Homelessness Prevention**

- a. A reduction in the number of homeless individuals and families seeking emergency shelter services.
- b. Expected Outcome: At least 35% of participants assisted will remain in permanent housing six (6) months after the last assistance was provided under ESG.

### **Performance Measures for Homeless Rapid Re-Housing**

- a. A reduction in the reoccurrence of homelessness for individuals and families who exit the shelter system.
- b. Expected Outcome: At least 35% of participants assisted will remain in permanent housing six (6) months after the last assistance provided under ESG.

## **Evaluation of Eligibility**

Standard Policies and Procedures for evaluating individuals' and families' eligibility for assistance under Emergency Solutions Grant (ESG)

Building on Established Homeless Prevention or Rapid-Re-housing (HPRP) Policies and Procedures

The policies and procedures were originally established based on the provisions of HPRP assistance. The policies and procedures have been modified based upon the checklist of required elements set forth in 24 CFR 576.400 (e)(1) and (e)(3), (91.220 (l)(4)(vi)) and in collaboration with CoC standards will be adopted.

Centralized Pre-Screening and Assessment Available at Multiple Locations

The County of Orange Continuum of Care (CoC) through their Coordinated Entry System (CES) establishes the list of prioritized individuals and available units. Participating agencies are responsible for engaging homeless individuals and families through the use of outreach/in-reach and a standardized vulnerability assessment and intake process that provides referrals to the CES. CES places priority on those who are at highest risk with the most appropriate intervention rather than a “first come, first served” approach.

Individuals and families applying for ESG assistance must complete the Pre-Screening Tool as described in the Universal Assessment section. The Pre-Screening Tool may be completed via phone, online, or at established locations, including emergency shelter locations. Dependent on the results of the Pre-Screening Tool and VI-SPDAT, individuals and families will be scheduled an appointment with a case manager for to do an initial consultation and begin eligibility determination.

#### Basic Eligibility Requirements

- Initial Consultation & Eligibility Determination: The applicant(s) must receive at least an initial consultation and eligibility assessment with a case manager or other authorized representative who can determine eligibility and appropriate type of assistance.
- ESG clients must meet one of the following definitions of homelessness:
  1. Literally homeless
  2. At imminent risk of homelessness
  3. Homeless under Federal Statutes
  4. Fleeing/attempting to flee domestic violence
- Income: The household's total annual income must be below 30 percent of the median family income for the area (AMI)
- Housing Status: Case files must document the current housing status of the household at application. Housing status will be verified through third party verification whenever possible. Self-certification of housing status will be considered on a case by case basis.
- Orange County Residency: All households receiving HPRP assistance under ESG must be residents of Orange County at time of application.
- Unidentifiable financial resources and/or support networks. In order to receive ESG rental financial assistance, applicants must also demonstrate the following:
  1. No appropriate subsequent housing options have been identified;

2. The household lacks the financial resources to obtain immediate housing or remain in its existing housing; and
3. The household lacks support networks needed to obtain immediate housing or remain in its existing housing.

## **Policies and Procedures for Program Coordination**

Policies and Procedures for coordination among emergency shelter providers, essential service providers, homelessness prevention and rapid re-housing assistance providers, other homeless assistance providers, and mainstream service housing providers.

The ESG program requires coordination among participating agencies. All ESG subrecipients in Orange County are experienced homeless providers with a demonstrated track record in fiscal management and the provision of housing and supportive services targeted to homeless households. Additionally, the project administration agreement with ESG subrecipients will require coordination among agencies receiving ESG funds to administer Emergency Shelter, essential services, Homelessness Prevention, Rapid Re-housing services, and related assistance, and access to mainstream services and housing providers for clients.

### **Participation in the Continuum of Care**

ESG funded agencies have easy access to membership in the Continuum of Care. The Continuum of Care has member organizations including homeless service providers, veteran service representatives, churches, and government organizations. The Continuum of Care meets on a regular basis and shares information about services among participating agencies. For meeting information you may access the CoC Master Calendar at <http://ocpartnership.net/content/mastercalendar.html>.

### **Required Client Information and Referrals**

To further facilitate collaboration and information sharing, ESG funded agencies will be required to provide the following information and referrals to ESG program participants:

- 2-1-1 hotline for social services
- Social security benefits
- Cal-Works and other income security programs provided by the Orange County Social Service Agency (SSA)

- Cal-Fresh, federally known as Supplemental Nutritional Assistance Program (SNAP)
- Low Income Energy Assistance Programs
- Affordable housing information
- Employment assistance and job training programs
- Health care and mental health services
- Services for victims of domestic violence
- Veteran Services
- Specialized services such as legal services and credit counseling

### **Policies and Procedures for Determining Assistance and Prioritization**

Policies and Procedures for determining and prioritizing which eligible families and individuals will receive Homelessness Prevention assistance and which eligible families and individuals that will receive Rapid Re-housing assistance.

Once it is determined that the household meets the basic eligibility guidelines noted above, the household will be assessed for the appropriate form(s), level, and duration of financial assistance. The results of this assessment will be formalized in a Housing/Financial Assistance Plan that is signed by both the applicant and the case manager.

### **Assistance through Homelessness Prevention**

Homelessness Prevention assistance will be targeted to households who are at risk of losing their present housing and becoming homeless. While there are many people who are housed and have a great need for rental assistance, not everyone will become homeless without assistance. A risk assessment will be used to assess the household's level of crisis and prioritize those who are at greatest risk of becoming homeless. The assessment tool will include vulnerability criteria including but not limited to; income, housing history, food security, childcare, health care, life skills, and other special needs. Due to the limited amount of funding, assistance will be provided on a first come, first served basis, if the applicant meets the eligibility and risk assessment criteria.\*

## Assistance through Re-housing

Homeless Rapid Re-housing assistance is intended for individuals or families who meet the homeless definition described in Section 103, 42 USC 11302 of the McKinney Vento Act, as amended by the HEARTH Act. While there are many homeless individuals and families in Orange County at any given night, the Rapid Re-housing assistance will be prioritized for households who are residing in emergency shelters and on the streets. Due to the limited amount of funding, assistance will be provided on a first come, first served basis, if the applicant meets the eligibility and risk assessment criteria.\*

***\*Rapid Re-housing should prioritize people with more challenges, including those with no income, poor employment prospects, troubled rental histories, and criminal records. Providers should link participants with community resources that will help them achieve longer-term stability and well-being.***

## Determining Share of Rent and Utility Costs

Standards for determining the share of rent and utilities costs that each program participant must pay, if any, while receiving Homelessness Prevention or Rapid Re-housing assistance.

## Limitations on Assistance – Homelessness Prevention

Orange County's ESG rental assistance is intended to stabilize individuals and families who have recently endured difficult financial circumstances that have led them into homelessness or who are at imminent risk of becoming homeless. For Homelessness Prevention assistance, the rental assistance consists of short-term rental assistance (3 months); extended under certain circumstances to medium term rental assistance (for an additional 3 months). Client must be reassessed after every 90 days and case management on a monthly basis is required.

Not every individual or family in need of rental assistance is a candidate for ESG Homelessness Prevention or Rapid Re-housing assistance. ESG rental assistance is not a substitute for Section 8 rental assistance or a permanent rental subsidy, but rather a tool to help stabilize families or individuals who are at imminent risk of becoming homeless and lack any other resources to help them stabilize their

housing situation. Rental assistance cannot be provided to a program participant who is already receiving rental assistance or living in a housing unit receiving rental assistance or operating assistance through other Federal, State, or local sources (CFR 576.106).

As a general rule, an individual or household should pay approximately 30% of their income towards rent. This requirement may be waived on a case-by-case basis for extreme circumstances. The ESG assistance will consist of the remaining portion of the rent.

Program participants are required to be reassessed at regular monthly intervals to monitor progress and levels of self-sufficiency. If a program participant requires assistance beyond the three month mark, the ESG rental subsidy will be reduced and the client will be required to pay a larger portion of the rent, Homelessness Prevention assistance will be based on number in household, fair market rent rate, and income.

### **Limitations on Assistance – Rapid Re-housing**

Under Rapid Re-housing assistance, a client's share of rent should be based on the client's ability to pay during their path to housing stabilization. Clients receiving Rapid Re-housing assistance must be re-assessed, at a minimum every 90 days, and reviewed during monthly case management. The assistance should not exceed the Fair Market Rent amount based on client household, except for the first month of assistance if client requires assistance with other re-housing expenses such as rent deposits or utility deposits. Security deposit should not exceed two times the rent.

Standards for determining the share of rent and utilities costs that each program participant must pay, if any, will be based on the following guidelines:

- 100% of the cost of rent in rental assistance may be provided to program participants. However to maximize the number of household that can be served with Rapid Re-housing resources, it is expected that the level of need will be based on the goal of providing only what is necessary for each household to be stably housed for the long term.
- Rental assistance cannot be provided for a unit unless the rent for that unit is at or below the Fair Market Rent limit, established by HUD;

- The rent charged for a unit must be reasonable in relation to rents currently being charged for comparable units in the private unassisted market and must not be in excess of rents currently being charged by the owner for comparable unassisted units.

**Note:** Grant funds may be used for rental assistance for homeless individuals and families. Rental assistance cannot be provided to a program participant who is already receiving rental assistance, or living in a housing unit receiving rental assistance or operating assistance through other Federal, State, or local sources (CFR 576.106).

For additional guidance on Rapid Re-housing program limitations refer to the Rapid Re-housing Written Standards (Appendix A).

### **Limitations on Assistance – All Clients**

Due to the limited amount of funds available, the ESG assistance will not exceed Fair Market Rent per client per month in combined ESG assistance, including rent and utility payments.\* Generally, clients should be responsible for paying their own utility costs while receiving ESG rental assistance, unless they are experiencing acute financial hardship or are at risk of losing their housing due to utility shut off. Clients seeking help with utilities only may be eligible for ESG assistance if it can be documented that they will lose their housing and become literally homeless if utility assistance is not provided; however, the household still must meet other ESG eligibility requirements.

### **Determining Length and Ongoing Need for Rental Assistance**

Standards for determining how long a particular participant will be provided with rental assistance and whether the amount of that assistance will be adjusted over time.

ESG assistance consists of short term (up to 3 months), medium term (up to 6 months), and maximum term (up to 1 year) rental assistance to allow individuals or families who have recently encountered a financial crisis that has led them into homelessness or at imminent risk of homelessness, to gain housing stabilization. Since the program consists of temporary assistance aiming at rapid stabilization of households, clients are required to contribute a portion of their income towards rent.



Clients with no potential to earn income may not be suitable candidates for this type of assistance, unless other subsidies can be accessed after the ESG assistance expires.

Clients assisted under ESG Homelessness Prevention Assistance are eligible to receive the rental assistance for up to 3 months if they meet income eligibility of less than 30% of median family income for the area (AMI) during the 3 month period and comply with the case management requirements of the program. At the end of the third month, clients must be re-assessed to determine if the client's rental assistance needs, to be extended for an additional 3 month period, if the ESG rental assistance is extended for an additional three (3) months, the ESG assistance will be reduced and/or adjusted over the remaining time.

Clients assisted under ESG Rapid re-housing Assistance are eligible to receive rental and utility assistance for up to one-year if they meet income eligibility during the one-year period. Rapid Re-housing clients must receive monthly case management, be evaluated at regular intervals, and be reassessed every 90-days during the ESG assistance period. The ESG rental assistance should be reduced gradually and the client's portion of rent increased during the months of assistance.

### **Determining Need for Housing Stabilization and Relocation Services**

Standards for determining the type, amount, and duration of housing stabilization and for relocation services to provide a program participant, including the limits, if any, on Homelessness Prevention or Rapid Re-housing assistance that each program participant may receive, such as the maximum amount of assistance; maximum number of months the program participation receives assistance; or the maximum number of times the program participant may receive assistance.

### **Transitional Housing and Rapid Re-housing**

While transitional housing technically eligible, HUD cautions recipients against using ESG Rapid Re-housing funds as a way of regularly exiting a person from transitional housing to permanent housing. It is recommended that Rapid Re-housing be used as a model for helping people move from the streets or shelter to permanent housing, not for people exiting transitional housing. Additionally, transitional housing providers should have programs designed to successfully exit people and should not use Rapid Re-housing, another form of temporary assistance, as a regular part their program design. HUD recommends this be done on a case-by-case basis, so that it is

not common practice, but is provided only when necessary to prevent the program participant from going back to the streets or emergency shelter.

Please also note that program participants would need to be assessed for and determined to be eligible for ESG Rapid Re-housing assistance, in accordance with the ESG eligibility and documentation requirements. (Homeless definition in 24 CFR 576.2) This includes a requirement that the assistance be necessary to help the program participant move as quickly as possible into permanent housing and achieve stability in housing. Note that such a household would have to be exited from the transitional housing program in HMIS and entered into the ESG program in HMIS.

### **Consultation Process**

The ESG subrecipients will continuously consult with the Continuum of Care to discuss the County's ESG allocation in ways that:

- Coordinate across regional entitlement jurisdictions by developing and utilizing standardized eligibility and assessment tools;
- Support federal and local goals for priority populations;
- Allow for variations in the program design that responds to the needs and resources of the jurisdiction
- Comply with eligibility and verification requirements (HMIS, housing status, homeless definitions, etc.)

The ESG program requires coordination among participating agencies. All ESG subrecipients in Orange County are experienced homeless providers with a demonstrated track record in fiscal management and the provision of housing and supportive services targeted to homeless households.

The County of Orange also consulted with the Continuum of Care on the ten year Plan to end Homelessness to ensure the alignment of proposed ESG activities as they relate to the goals and strategies outlined in the plan. This joint effort has worked successfully in the past.

### **ESG Homeless Definitions**

Refer to reference information located at the following:

[https://www.hudexchange.info/resources/documents/HEARTH\\_HomelessDefinition\\_FinalRule.pdf](https://www.hudexchange.info/resources/documents/HEARTH_HomelessDefinition_FinalRule.pdf)

## APPENDIX A

### Written Standards for Rapid Rehousing

#### A. Background information

In regards to rapid re-housing, § 578.7 Responsibilities of the Continuum of Care (CoC) (a) (9) of the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act Interim Rule notes that:

“In consultation with recipients of Emergency Solutions Grants (ESG) program funds within the geographic area, establish and consistently follow written standards for providing Continuum of Care assistance. At a minimum, these written standards must include:

- Policies and procedures for evaluating individuals' and families' eligibility for assistance under this part;
- Policies and procedures for determining and prioritizing which eligible individuals and families will receive rapid rehousing assistance; and
- Standards for determining what percentage or amount of rent each program participant must pay while receiving rapid rehousing assistance.”

Rapid re-housing is considered permanent housing. The United States Department of Housing and Urban Development (HUD) regulatory definition of “permanent housing” states:

“The term “permanent housing” means community-based housing without a designated length of stay, and includes both permanent supportive housing and rapid re-housing.”

HUD also states:

“Additionally, in the regulatory definition of “permanent housing,” HUD clarifies that to be permanent housing, the program participant must be the tenant on a lease for a term of at least one year that is renewable and is terminable only for cause. The lease must be renewable for terms that are a minimum of one month long. HUD has determined that requiring a lease of a term of at least one year that is renewable and terminable only for cause, assists program

participants in obtaining stability in housing, even when the rental assistance is temporary. These requirements are consistent with Section 8 requirements.”

Rapid re-housing assistance may include:

- Rental assistance;
- Case management;
- Supportive services; and
- Security deposit

## **B. Eligible Clients**

Individuals and families defined as Homeless under the following categories are eligible for rapid re-housing assistance:

### **Category 1 – Literally Homeless**

An individual or family who lacks a fixed, regular, and adequate nighttime residence, which includes a primary nighttime residence of:

- Place not designed for or ordinarily used as a regular sleeping accommodation (including a car, park, abandoned building, bus/train station, airport, or camping ground)
- A supervised publicly or privately operated shelter designated to provide temporary living arrangements (including congregate shelters, transitional housing, and hotels and motels paid for by charitable organizations or by Federal, State, or local government programs); or

In addition, an individual is considered homeless if he or she is being discharged from an institution where he or she has been a resident for 90 days or less and the person resided in a shelter (but not transitional housing) or place not meant for human habitation immediately prior to entering that institution.

### **Category 4 – Fleeing Domestic Violence**

Any individual or family who:

- Is fleeing, or is attempting to flee, domestic violence, dating violence, sexual assault, stalking, or other dangerous or life-threatening conditions that relate

to violence against the individual or a family member that has either taken place within the individual's or Family's primary nighttime residence or has made the individual or family afraid to return to their primary nighttime residence;

- Has no other residence; and
- Lacks the resources or support networks to obtain other permanent housing.

For more information on HUD's other homeless categories, eligible for other CoC funds, visit:

[www.onecpd.info/resources/documents/HEARTH\\_HomelessDefinition\\_FinalRule.pdf](http://www.onecpd.info/resources/documents/HEARTH_HomelessDefinition_FinalRule.pdf)

Eligible clients must also meet eligibility criteria as defined in the Notice of Funding Availability (NOFA) under which the rapid re-housing program was funded.

### **C. Prioritizing Rapid Rehousing**

Recently, HUD provided guidance for rapid re-housing in terms of prioritizing subpopulations. HUD noted in a SNAPS in Focus: Rapid Re-Housing as a Model and Best Practice, August 6, 2014, that:

“Rapid re-housing can be effective for many populations, such as families with children, youth aging out of foster care, domestic violence survivors, single adults, and veterans, but should be targeted to those households that would not be able to get out of homelessness without the assistance. It is particularly a key strategy for achieving the Opening Doors goal of ending family, youth, and child homelessness by 2020.

Rapid re-housing should prioritize people with more challenges, including those with no income, poor employment prospects, troubled rental histories, and criminal records. Providers should link participants with community resources that will help them achieve longer-term stability and well-being.”

Recently, HUD also noted on the Rapid Re-housing Brief that:

“Rapid re-housing is an effective intervention for many different types of households experiencing homelessness, including those with no income, with disabilities, and with poor rental history. The majority of households experiencing homelessness are good candidates for rapid re-housing. The only

exceptions are households that can exit homelessness with little or no assistance, those who experience chronic homelessness and who need permanent supportive housing, and households who are seeking a therapeutic, residential environment, including those recovering from addiction.”

Thus, the Orange County CoC will prioritize the following subpopulations that would not be able to get out of homelessness without the assistance:

- Families with children;
- Youth aging out of foster care;
- Domestic violence survivors;
- Single adults;
- Veterans; and
- Chronically homeless individuals and families.

Each individual and family will be entered into the Orange County Coordinated Entry System (CES) which will help identify:

- Eligibility of each individual or family seeking assistance based on the individual's or family's current living situation; and
- The amount and type of assistance needed for the individual or family to (re)gain stability in permanent housing.

#### **D. Written Standards**

##### **Written Standard #1: Lease Agreement**

- **The program participant must be the tenant on a lease for a term of at least one year that is renewable and is terminable only for cause. The lease must be renewable for terms that are a minimum of one month long.**

On page 12 of the Preamble of the HEARTH Act Interim Rule, it states that:

“HUD clarifies that to be permanent housing, “the program participant must be the tenant on a lease for a term of at least one year that is renewable and is terminable only for cause. The lease must be renewable for terms that are a minimum of one month long. HUD has determined that requiring a lease for a term of at least one year that is renewable and terminable only for cause, assists

program participants in obtaining stability in housing, even when the rental assistance is temporary. These requirements are consistent with Section 8 requirements.”

## **Written Standard #2: Rental Assistance and Duration of Assistance**

- **Program participants may receive short-term (up to 3 months) and/or medium-term (for 3 to 24 months) tenant-based rental assistance. However, it is expected that program participants will only receive the level of assistance necessary to be stably housed for the long-term.**

§ 578.37 Program components and uses of assistance (a)(1) (ii) states that:

“Continuum of Care funds may provide supportive services, as set forth in § 578.53, and/or short-term (up to 3 months) and/or medium-term (for 3 to 24 months) tenant-based rental assistance, as set forth in § 578.51(c), as necessary to help a homeless individual or family, with or without disabilities, move as quickly as possible into permanent housing and achieve stability in that housing.”

Program providers will target program participants to receive short-term rent assistance but upon need will transition to medium-term assistance.

## **Written Standards #3: Amount of Rental Assistance**

- **Standards for determining the share of rent and utilities costs that each program participant must pay, if any, will be based on the following guidelines:**
  - The maximum amount of rent that a participant will pay can be up to 100% of the rental amount;
  - In general, the goal will be that participants pay generally no more than 50% of their income in rent at program completion; however, in certain circumstances, on case-by-case basis, there may be participants whose rental share may exceed 50% of the rent based on their financial circumstances and current rental market.
  - 100% of the cost of rent in rental assistance may be provided to program participants. However, to maximize the number of households that can be served with rapid re-housing resources, it is expected that the level

of need will be based on the goal of providing only what is necessary for each household to be stably housed for the long term;

- The maximum number of times that a program participant may receive rental assistance is:
  - Propose: Participants may be eligible for rapid re-housing assistance for multiple episodes of literal homelessness based on their need. To ensure the efficient use of resources, recipients may establish a maximum amount or number of times that a program participant may receive rapid re-housing assistance.
- Rental assistance cannot be provided for a unit unless the rent for that unit is at or below the Fair Market Rent Limit, established by HUD; and
- The rent charged for a unit must be reasonable in relation to rents currently being charged for comparable units in the private unassisted market and must not be in excess of rents currently being charged by the owner for comparable unassisted units.

§ 578.37 Program components and uses of assistance (a) (I) (ii) (F) states that a CoC

“May set a maximum amount or percentage of rental assistance that a program participant may receive a maximum number of months that a program participant may receive rental assistance, and/or a maximum number of times that a program participant may receive rental assistance. The recipient or sub-recipient may also require program participants to share in the costs of rent.

For the purposes of calculating rent for rapid rehousing, the rent shall equal the sum of the total monthly rent for the unit and, if the tenant pays separately for utilities, the monthly allowance for utilities (excluding telephone) established by the public housing authority for the area in which the housing is located.”

#### **Written Standards #5: Security Deposits Including Last Month’s Rent**

- **Program participants may receive funds for security deposits in an amount not to exceed 2 months of rent.**

§ 578.51 Rental assistance (a) (2) states that:

“Grant funds may be used for security deposits in an amount not to exceed 2 months of rent. An advance payment of the last month’s rent may be provided



to the landlord, in addition to the security deposit and payment of first month's rent."

#### **Written Standard #6: Receiving Rental Assistance through Other Sources**

- **Rental assistance cannot be provided to a program participant who is already receiving rental assistance or living in a housing unit receiving rental assistance or operating assistance through other Federal, State, or local sources.**

§ 578.51 Rental assistance (a) Use states that:

"Grant funds may be used for rental assistance for homeless individuals and families. Rental assistance cannot be provided to a program participant who is already receiving rental assistance, or living in a housing unit receiving rental assistance or operating assistance through other federal, State, or local sources."

If the individual or family is already living in a housing unit receiving rental assistance, then the individual or family are not eligible for Rapid Rehousing as they do not meet Category 1 or Category 4 of Homelessness as defined by HUD.

#### **Written Standard #7: Case Management**

- **Program participants must meet with a case manager not less than once per month to assist the program participant in ensuring long-term housing stability.**

§ 578.37 Program components and uses of assistance (a) (1) (ii) (F) states the following requirements:

"Require the program participant to meet with a case manager not less than once per month to assist the program participant in ensuring long-term housing stability. The project is exempt from this requirement if the Violence Against Women Act of 1994 (42 U.S.C. 13925 et seq.) or the Family Violence Prevention and Services Act (42 U.S.C. 10401 et seq.) prohibits the recipient carrying out the project from making its housing conditional on the participant's acceptance of services."

Part of § 578.53 includes the following:

- Providing ongoing risk assessment and safety planning with victims of domestic violence, dating violence, sexual assault, and stalking;
- Using the centralized or coordinated assessment system;
- Counseling;
- Developing, securing, and coordinating services;
- Obtaining Federal, State, and local benefits;
- Monitoring and evaluating program participant progress;
- Providing information and referrals to other providers;
- Developing an individualized housing and service plan, including planning a path to permanent housing stability; and
- Conducting required annual assessment of service needs (re-evaluation).

#### **Written Standard #8: Supportive Services**

- **Program participants may receive supportive services as set forth in § 578.53**

§ 578.37 Program components and uses of assistance (a) (1) (ii) States that:

“Continuum of Care funds may provide supportive services, as set forth in § 578.53, and/or short term (up to 3 months) and/or medium-term (for 3 to 24 months) tenant-based rental assistance, as set forth in § 578.51 (c), as necessary to help as homeless individual or family, with or without disabilities, move as quickly as possible into permanent housing and achieve stability in that housing.”

#### **Written Standard #9: Duration of Supportive Services**

- **Program participants may receive supportive services for no longer than 6 months after rental assistance stops, unless supportive services are provided through another funding source.**

§ 578.37 Program components and uses of assistance (a) (1) (ii) (F) states that the CoC, “May provide supportive services for no longer than 6 months after rental assistance stops.”

#### **Written Standard #10: Re-evaluation**

- **Program participants must be re-evaluated, not less than once annually, in order to determine whether program participants lack sufficient resources and support networks necessary to retain housing without Continuum of Care assistance and the types and amounts of assistance that the program participant needs to retain housing.**

§ 578.37 Program Components and uses of assistance (a) (1) (ii) (E) states that the Continuum of Care

“Must re-evaluate, not less than once annually, that the program participant lacks sufficient resources and support networks necessary to retain housing without Continuum of Care assistance and the types and amounts of assistance that the program participant needs to retain housing. The recipient or sub-recipient may require each program participant receiving assistance to notify the recipient or sub-recipient of changes in the program participant’s income or other circumstances (e.g., changes in household composition) that affect the program participant’s need for assistance. When notified of a relevant change, the recipient or sub-recipients must reevaluate the program participant’s eligibility and the amount and types of assistance that the program participant needs.”